



PATTON CT., SE
SIDEWALK EXTENSION

TRANSPORTATION DEPARTMENT

**P.O. Box 308 - 850 Warren C. Coleman Blvd.
Concord, North Carolina 28026-0308**



January 3, 2011

To: Interested Contractors

SUBJECT: Solicitation of Quotes
Patton Ct., SE. Sidewalk Extension

The City of Concord Transportation Department is requesting quotes for constructing a sidewalk extension with curb and gutter and other associated work on Patton Ct., SE running from Union St., S to the Downtown Greenway connector to Lawndale Ave., SE. Please note that there will be a Pre-Quoting Conference for the purpose of providing additional information about the project and to give interested Contractors an opportunity to ask any questions they may have with regards to this project. In order to qualify to submit a quote, attendance at this conference is required. The Conference will be held on-site at the Greenway connector at 9:30 AM on January 17, 2012 weather permitting. (See attached Map or call 704-920-5338 if further information is needed).

If interested, please attend the on-site Conference and thereafter provide us with your signed quote on the Quoting Form in a sealed envelope. Quotes will be received on January 24, 2012 at the office of the Transportation Director, 850 Warren C. Coleman Blvd., Concord, N.C. 28025. Thank you for your consideration and if you have any questions with this quote request, please call us at 704-920-5362, 5363 or 5338.

Sincerely,

CITY OF CONCORD

(signed) *J. K. Wilson, III*

J. K. Wilson, III, P.E.,
Transportation Director

JKW/lmp

Enclosure

cc: Project File: Patton Ct., SE Sidewalk Extension
Sid Talbert, Purchasing



TRANSPORTATION DEPARTMENT

**EXHIBIT "A"
GENERAL CONDITIONS AND QUOTE FORM**

**Solicitations of Quotes for the
Patton Ct., SE Sidewalk Extension**

The City of Concord is requesting Quotes for a sidewalk extension with curb and gutter and other associated work on Patton Ct., SE under its Pedestrian Improvement Program as further described below. If you wish to submit a Quote on this work, please fill out the Quote Form sheet that is enclosed herein and return at the address listed below.

1) Definitions:

City – the City of Concord, North Carolina

Contract – the executed agreement between the City of Concord and the successful bidder, covering the performance of the work and the compensation therefore. The term contract is all inclusive with reference to all written agreements affecting a contractual relationship and all documents referred to therein, and shall specifically include, but not be limited to, the Bid Form, the printed Contract Form and all Attachments thereto, the Contract Bonds, Insurance Forms, Exhibits, the Plans, the Standard Specifications and all supplemental specifications thereto, the general conditions, and all executed supplemental agreements, all of which constitute one instrument.

Contractor – the Contractor named in the contract documents.

Director – City of Concord Transportation Director or the Director's representative.

Engineer - City of Concord Transportation Director

Proposal requirements – the legal and procedural documents, any general and special provisions, together with modifications thereof, and Standard Specifications requirements, with all addenda thereto.

Quoter - An individual, partnership, firm, corporation, or joint venture submitting a quote for the work contemplated

Standard Drawings - North Carolina Department of Transportation Raleigh, "Highway Design Branch Roadway Standard Drawings" Latest Ed.

Standard Specifications – North Carolina Department of Transportation Raleigh, "Standard Specifications for Roads and Structures", July 2006 Ed. and the "Highway Design Branch Roadway Standard Drawings" Latest Ed.

Subcontractor – any person, firm, partnership, corporation, with a direct contract with the Contractor who acts for or in behalf of the Contractor in executing any part of the contract, but does not include one who merely furnishes materials.

Transportation Department – City of Concord Transportation Department

Work – the entire completed service or the various, separately identifiable parts, labor, material or requirement thereof needed to perform such under the contract documents to the satisfaction of the City.

- 2) Project Information: The work under the Patton Ct., SE Sidewalk Extension project consists of the selected Contractor performing construction and installation of a sidewalk extension as indicated on the construction plans and discussed in the pre-quoting walk through including, but is not limited to, all mobilizations, demobilizations, control, layout, materials, tools, labor, rentals, consumables, miscellaneous hardware, supporting hardware and software, clearing, grubbing, excavations, hauling operations, filling, compaction, rough grading and fine grading, compaction, installation and / or adjustments of stormwater drainage conveyance structures, incidental relocations, structural stabilization, forming, backfilling, sawing existing concrete and asphalt, furnishing, placing, and finishing concrete, construction joints, ADA accommodations, milling, tacking, furnishing, placing and compaction of asphalt, sedimentation and erosion control, touch-up repairs, security of the work, traffic control and safety operations with associated materials, removal of any debris, cleanup, and disposal(s), special subcontracting, permits and licenses, seeding, mulching, miscellaneous landscaping and relocations in accordance with the Contract documents, City Technical Standards Manual, Standard Specifications, and Standard Drawings to the satisfaction of the Director and the City of Concord.

The Contractor is also hereby referred to certain items of the Special Conditions for further information with regards to this work.

Any defective work whether the result of poor workmanship, use of defective materials, damage through carelessness or any other cause shall be removed and the work re-executed at no cost to the City.

- 3) Specified Times of the Contract, Working Hours, Schedules, Reports, and Supervision: The Contractor shall pursue the work diligently with workmen in sufficient numbers, abilities, and supervision; and with equipment, materials and methods of construction as may be required to complete the work described in this contract as provided by the Director by the Completion Date of **one hundred twenty (120)** days after the effective date of the contract. The Contractor will be required to maintain working hours from 9:00 AM to 4:00 PM with a ½ hour unpaid lunch allowance unless otherwise modified by the Director. All work shall be accomplished in a continuous manner once the contractor begins. Normal, daily mobilizations in the course of the work shall be considered incidental to the contract unit prices of all quote items.

Additionally, the Contractor will at no cost to the City notify the Director within **forty-eight (48)** hours in advance of beginning work and thereafter coordinate with the Director or the Director's representative regarding the proposed work schedule / locations and obtain approval and request for cooperative efforts by the City.

The Contractor will be required to begin work within **thirty (30)** days after issuance of the Notification to Proceed from the City on all work included in this contract or as directed by the Director or the Director's representative.

The Contractor shall at all times be responsible for the supervision, conduct, and discipline of his employees and/or Subcontractors and persons employed by said Subcontractors. All foremen and workmen must have sufficient knowledge, skill, and experience to perform properly the work assigned to them. Any foreman or workman, who in the opinion of the Director, that does not perform his work in a skillful and diligent manner, or who acts in a disorderly or intemperate manner, shall be removed from any portion of the work covered by this contract by the Contractor.

When one or multi-crews are employed, there shall be a designated crew foremen or job superintendent that will represent the Contractor as a single point of contact for the crew(s).

- 4) Specifications and Regulations: The following sections under this Part 4) are for earthwork, stormwater conveyance structure installation or adjustments, structural subgrades, concrete sidewalk installation, ADA accommodations, concrete curb and gutter, roadway asphalt and concrete pavements, sedimentation and erosion control, pavement marking, site security and work zone traffic safety, and other operations as also delineated and described in Part 2) Project Information, other Parts of Exhibit A, the construction plans, and Special Conditions.

All material, methods and other aspects of the work shall be provided, constructed, installed, inspected, and accepted in accordance with and as further defined under the applicable Divisions and Sections of the Standard Specifications, associated Standard Drawings, provisions of these General Conditions, Special Conditions, Contract Documents, construction plans or associated documents and cross-references therein and as generally described as follows:

Note: Where mentioned and applied in the Standard Specifications, Engineer shall under this contract be deemed as and have the same authority as the Transportation Director. Other items with regards to specifications and regulations for this work are noted in the Special Conditions.

Construction Control of Work

The Contractor shall be responsible for all project control, construction layout, and staking so as to properly construct the work in accordance with Division 1 - Section 105 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director's representative. No direct payment will be made for the control of work as this operation will be incidental to the work and full compensation for such will need to be included as part of the unit prices for the various Pay Items in the contract.

Work Zone Traffic Control

All material, installation of control measures, and maintenance of this section of the work shall be in accordance with Division 11 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director's representative.

All pedestrian control, vehicular traffic control, safety, and security associated with the entire work shall be in accordance with Part 6 of the Manual on Uniform Traffic Control Devices (MUTCD) latest Edition, OSHA, and any other applicable federal, state and local laws and regulations and shall be the responsibility of the Contractor. All hazards associated with the work that may pose as a danger and hazard shall be protected outside of working hours. The Contractor shall not close a lane of traffic, detain and/or alter the traffic flow on or during holidays, holiday weekends, special events, or any other time when traffic is unusually heavy.

The Contractor is responsible for operating and maintaining traffic control correctly. At least one member of each crew on the project site shall be certified in Work Zone Traffic Control and the operation(s) being used. If the Contractor fails to provide proper traffic control, the Director or the Director's representative has the authority to cease all operations and the contractor will not be allowed to continue for that day on this contract. This will result in the loss of production for this day and is not a legitimate claim for contract time extension.

The Contractor shall operate his equipment and conduct his operation to maintain the flow and safety of traffic. Maintenance of traffic (both vehicular and pedestrian) shall be done in accordance with Section 150 of the Standard Specifications. Work shall only be performed when weather and visibility conditions allow safe operations. Traffic cones (36-inch minimum height) may be used when approved by the Director or the Director's representative. When cones are used, they shall be either double stacked or have special heavy bottoms such that they will not be blown over by traffic. The Contractor shall temporarily remove his equipment from the travelway for emergency vehicles and school buses as directed by the Director or the Director's representative.

Work shall not be performed before sunrise or after sunset unless approved by the Director and such work is in compliance with Section 107 of the Standard Specifications and the Contractor provides artificial lighting as may be necessary to provide for safe and proper construction and to provide for adequate inspection of the work as described in Section 1413 of the Standard Specifications. No direct payment will be made for any items as covered in Section 1413 as such will be considered incidental to other pay items of the work.

Earthwork

All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 2, Division 8 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director's representative.

Pipes and Culverts

All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 3 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director's representative.

Subgrades, Bases, and Shoulders

All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 5 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director's representative.

Asphalt Pavements

All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 6 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director's representative.

Concrete Pavements

All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 7 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director's representative.

Concrete Sidewalks, Wheelchair Ramps and Associated Incidentals

All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 8 - Section 848 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director's representative.

representative. All concrete materials, unless otherwise delineated or specified, used for the work shall be non-tinted.

Concrete Curb and Gutter

All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 8 - Section 846 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director's representative.

Materials

All materials used for the work shall be in accordance with Division 10 and other applicable Sections and Divisions of the Standard Specifications. The Contractor shall furnish the applicable certifications and documentation for all materials as required by the Standard Specifications. Material that is not properly certified will not be accepted.

Pavement Markings

All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 12 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director's representative.

Sedimentation and Erosion Control

All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 16 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director's representative.

Acceptance of Materials and Work

Acceptance of all materials and work shall be to the satisfaction of the Director or the Director's representative.

Inspection, quality control management and required testing for stormwater piping, concrete, and asphalt construction shall be in accordance with Division 3 – Pipe Culverts, Division 5 - Subgrades, Bases, and Shoulders, Division 6 – Asphalt Pavement, Division 7 – Concrete Pavements and Shoulders and Division 8 – Incidentals of the Standard Specifications and in accordance with the requirements shown in the Contract Documents. Other required inspections (i.e. erosion control devices, earthwork, etc.) shall be in accordance with the Standard Specifications and the Contract Documents.

Responsibilities of the Contractor shall be in accordance with Division 1 of the Standard Specifications and in accordance with the requirements shown in the Contract Documents.

The Contractor shall keep himself fully informed of, comply with, give all notices, and secure all permits and approvals associated with Federal, State and local laws, regulations, codes and ordinances in any manner affecting the work, and all such orders and decrees as exist, or may be enacted by bodies having any jurisdiction or authority over the work, and shall indemnify and hold harmless the City against any claim or liability, including the cost of defense and attorney's fee arising from, or based on, the violation of any such laws, regulations, codes, ordinances, order or decree, whether by himself or his employee.

If any device, design, material or process covered by letters, patent or copyright is used by the Contractor, he shall provide for such use by legal agreement with the owner of the patent or a duly authorized licensee of such owner, and shall hold harmless the City from any and all loss or expense on account thereof in accordance with the first paragraph of this subsection, including its use by the City.

- 5) Posted Weight Limits: The Contractor's attention is directed to the fact that certain bridges in the City of Concord have posted weight limits. The Contractor will not be allowed to exceed the posted weight limits in transporting materials or equipment to the project. The Contractor should make a thorough examination of all maps and haul routes (both City and NCDOT facilities) on this project.
- 6) Utility Locations, Conflict and Coordination: Protection of all public and private property on and adjacent to the work and responsibility to the public shall be in accordance with Section 107 of the Standard Specifications.

The Contractor shall be solely responsible for contacting the appropriate utility or agency in the work areas and for the exact locations for all overhead or underground utility or services before starting work. It shall be the sole responsibility of the Contractor to verify the location of all utilities and services along and in the work area and to protect such from uninterrupted service. The Contractor shall adhere to all applicable regulations and follow accepted safety procedures when working in the vicinity of utilities in order to insure the safety of construction personnel and the public.

Permanent relocation(s) of any utility or utility service as indicated on the construction plans and as specified on the Quoting Form shall be performed by the Contractor. Acceptance of all materials and work shall be to the satisfaction of the Director or the Director's representative in coordination with representatives of the appropriate utility or agency.

Any utility or service that, in the opinion of the Contractor, will have to be made safe or temporarily relocated in order to perform the work shall be coordinated between the Contractor and the applicable utility or service provider. No direct payment will be made for this work, as it will be incidental to the project work and payment at the contract unit price for the various Pay Items in the contract will be full compensation for such action.

- 7) Site Security, Sanitary Provisions, Clean-Up, Pollution Control, and Storage: The Contractor shall at all times so conduct the work as to insure the least possible obstruction to pedestrians, vehicular traffic and inconvenience to the general public and businesses in the vicinity of the work, and to insure the protection of persons and property in a manner satisfactory to the City. No road shall be closed to the public except with the permission of the Director. Fire hydrants on or adjacent to the work shall be kept accessible to fire-fighting equipment at all times.

The Contractor is wholly responsible for off-site location of his trucks, equipment and associated material.

At the end of each workday, the Contractor shall clean the site of any non-structural component debris and waste materials, which result from the work and secure the work from any hazardous condition. The Contractor or his Subcontractor will haul and properly dispose away any such debris or waste material to an approved, public receiving facility permitted by the Solid Waste Management Division of the North Carolina Department of Environment and

Natural Resources to receive the type material being disposed. All debris materials permanently removed are the property of the Contractor.

The Contractor shall provide for the control of erosion, siltation, and pollution in accordance with Section 107-13 of the Standard Specifications.

The Contractor shall provide in accordance with Section 107-7 of the Standard Specifications such sanitary accommodations for the use of his employees as may be necessary to comply with the requirements and regulations of the local and State health authorities

The Contractor shall not enter upon private property for any purpose without obtaining permission, and shall be responsible for the preservation of all public property and other items, along and adjacent to the street right-of-way. The Contractor shall use every suitable precaution to prevent damage to such items and especially including vehicles, structures, poles, wires, conduits, underground infrastructure, signs, pavement, monuments, and property marks in the vicinity of the work.

- 8) City Privilege License: The selected Contractor and any Subcontractor(s) will be required to hold a current City of Concord Privilege License. Contact the City of Concord Tax Office at 704-920-5216 for information with regards to this requirement.
- 9) Insurance: The Contractor shall procure and maintain insurance for the contract time by the Contractor, at his own expense, in an amounts and coverage as stated in **Sec. 7** of the **CONSTRUCTION CONTRACT FOR Patton Ct., SE Sidewalk Extension**.
- 10) Certificate(s) of Insurance and proof thereof shall be furnished to the Transportation Director and approved by the City of Concord Safety, Health and Risk Manager and City Attorney(s) prior to commencement of the work. For further information with regards to what is required on the Certificate of Insurance, refer to the insurance information spreadsheet following **EXHIBIT "D" ATTACHMENT SHEET FOR CERTIFICATE (S) OF INSURANCE** and **Sec. 8** of the **CONSTRUCTION CONTRACT FOR Patton Ct., SE Sidewalk Extension**.
- 11) Indemnity: Refer to **Sec. 13** of the **CONSTRUCTION CONTRACT FOR Patton Ct., SE Sidewalk Extension**.
- 12) Contract Administration: The general responsibility for the administration of this contract will be done by the Director or the Director's representative. All work, reports, and requests for payment shall be subject to inspection and evaluation by the Director or the Director's representative at any time.

The Director acting directly, or through duly authorized representatives, will decide all questions which may arise as to the quality and acceptability of the work performed and as to the rate of progress of the work; all questions which may arise as to the interpretation of the contract; and all questions as to the acceptable fulfillment of the contract on the part of the Contractor. The Director's decision shall be final and shall have executive authority to enforce and make effective such decisions and orders if the Contractor fails to carry out promptly.

- 13) Method of Measurement: Measurement of the work will be made based on the actual and verified quantities which has been satisfactorily completed and accepted by the City as under the specific pay items as provided on the Quote Form. The method of measurement and computations used in determination of quantities of material furnished and of work

performed under the contract will be those methods generally recognized as conforming to accepted engineering practices and according to United States standard measures. Quantities indicated on the Quote Form are estimated and not guaranteed; they are solely for comparing Bid.

- 14) Basis of Payment and Requests for Payment: The quantities of the work, measured as provided above, will be paid for at the bid unit price on the Quote Form.
- 15) Payment(s) and full compensation for the work will be based on the actual accepted quantities installed and payment(s) shall include any and all related items as specified in the Project Information and as indicated on the construction plans and discussed in the pre-quote conference, the Standard Specifications or any Special Provisions attached hereto including, but is not limited to, all mobilizations, demobilizations, control, layout, materials, tools, labor, rentals, consumables, miscellaneous hardware, supporting hardware and software, clearing, grubbing, excavations, hauling operations, filling, compaction, rough grading and fine grading, compaction, installation and / or adjustments of stormwater drainage conveyance structures, incidental relocations, structural stabilization, forming, backfilling, sawing exiting concrete and asphalt, furnishing, placing, and finishing concrete, construction joints, ADA accommodations, milling, tacking, furnishing, placing and compaction of asphalt, sedimentation and erosion control, touch-up repairs, security of the work, traffic control and safety operations with associated materials, removal of any debris, cleanup, and disposal(s), special subcontracting, permits and licenses, seeding, mulching, miscellaneous landscaping and all else required as necessary to the satisfaction of the Director and the City of Concord.

Note: There will be no payment made for any item of the work not specifically listed as a Quote Pay Item as they are considered incidental to the other Pay Items in the Quote.

Note: Any theft of materials or vandalism to the work that occurs during construction, as noted by the Director or his representative, shall be repaired or replaced at the expense of the Contractor at no cost to the City. Contractor will insure the work until such is completed and accepted by the City.

Forms for billing the City for services performed are to be made on forms provided by the City of Concord Finance Department. No other forms will be accepted by the City and processed for payment. The Contractor shall submit monthly invoices (if the work goes beyond one month) by the 25th day of each month, which shall be processed for payment thirty (30) days after receipt. Invoices and time sheets shall be in duplicate – one original set to be attached to invoices for City of Concord Finance Department and one copy to be filed with the Transportation Department.

- 16) Oral Agreements and Claims for Additional Compensation: No oral order, objection, claim or notice by any party to the others shall effect or modify any of the terms of obligations contained in any of the contract documents other than by a definitely agreed upon waiver or modification by both the Contractor and the City in writing.

Any claims for additional compensation shall be submitted in writing to the Director with detailed justification within thirty (30) days after receipt of the final invoice payment. The failure of the Contractor to submit the claim(s) within thirty days shall be a waiver of any such claims and a bar to recovery.

- 17) Contract Time and City's Right to Terminate Contract: This contract shall commence on the effective date given in the Contract Agreement and shall be effective for a period of **one hundred twenty (120)** days. Any requests for extensions to the contract time shall be made in writing by the Contractor and approved by the Director. The City shall have the right to suspend work or to terminate the contract after giving at least **ten (10)** days written notice of suspension or termination to the Contractor.
- 18) Subletting of Contract: The Contractor shall not sublet, sell, transfer, assign or otherwise dispose of this contract or any portion thereof, or his right, title or interest therein, without written consent of the City. Subletting of this contract or any portion of the contract shall conform to the provisions of these General Conditions and the Standard Specifications Division 1 - Section 108-6.
- 19) Notices to Proceed: The Contractor shall be ready to perform work operations and stand on emergency call as soon as possible after the issuance of a City Purchase Order Number / Notice to Proceed, but in no event delay the onset of work operations later than **thirty (30)** days of delivery of said City Purchase Order Number / Notice to Proceed.
- 20) Litigation Venue: Any controversy or litigation arising out of this contract shall be resolved in the courts of Cabarrus County, North Carolina and as further stated in **Sec. 15.** of the **CONSTRUCTION CONTRACT FOR Patton Ct., SE Sidewalk Extension.** This contract shall be subject to the laws of the State of North Carolina and with respect to this Part 17), time is of the essence.
- 21) Submission and Rejection of Quotes: No Quoter may submit more than one quote. Multiple quotes under different names will not be accepted from one firm or association. A conditional quote will not be accepted. Oral, telephone, facsimile, or telegraph quotes will not be accepted. The Quote Form must be filled out and submitted in the bound documents. All quotes will remain subject to acceptance for the number of days set forth in the Quote Form. The City of Concord reserves the right to reject any or all quotes.
- 22) Liquidated Damages: The City and Contractor recognize that time is of the essence of this contract and that the City will suffer financial loss if the work is not completed within the contract specified time, plus any extensions thereof allowed in accordance with Part 17) of these General Conditions. They also recognize the delays, expense, and difficulties involved in proving in a legal or arbitration proceeding the actual loss suffered by the City if the work is not completed on time. Accordingly, instead of requiring any such proof, the City and Contractor agree that as liquidated damages for delay (but not as a penalty) the Contractor shall pay the City **\$ 200.00** for each calendar day that expires after the contract specified time until the remaining / outstanding work (punchlist) is performed by the Contractor and until such time as punchlist completion and readiness for final payment is made.

In case of joint responsibility for delay in the completion of the work, where two or more separate contracts are in force at the same time and cover work at the same site, liquidated damages assessed against any one Contractor will be based upon the individual responsibility of that Contractor for the delay as determined by, and in the judgment of, the Director.

The City shall have the right to deduct the liquidated damages from any money in its hands, otherwise due, or to become due, to the Contractor, or to initiate applicable dispute resolution procedures and recover liquidated damages for nonperformance of this contract within the specified time of the contract.

Quotes are to be submitted to the following address on or before close of business
January 24, 2012:

**Attendance at the Pre-Quote Conference is required
to submit a Quote**

**J. K. Wilson, III, P.E., Transportation Director
City of Concord
850 Warren C. Coleman Blvd. / P.O. Box 308
Concord, NC 28026-0308**

Please note on outside of the envelope
Quote Included – **Patton Ct., SE Sidewalk Extension**

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QUOTE FORM

Patton Ct., SE Sidewalk Extension - Account No. 4512-5585000

Item No.	Description – Base Quote	Unit	Quantity	Unit Price \$	Item Total \$
Control of Work					
1	Mobilization	LS	1		
2	Traffic Control	LS	1		
Concrete					
3	4" thk. Concrete Sidewalk	SY	485		
4	6" thk. Sidewalk and Driveway	SY	200		
5	6" thk. ADA Ramp (includes ADA mats)	SY	20		
6	2'-6" Curb and Gutter	LF	1,350		
7	Misc. Concrete	CY	10		
Earthwork					
8	Grading (includes all erosion control devices, saw cutting, demolition, etc.)	LS	1		
Utility Relocations (all by City of Concord)					
Storm Drainage					
9	Convert Exist. Catch Basin to Junction Box w/ Manhole frame and Cover	EA	1		
10	Catch Basin	EA	3		
11	Catch Basin Frame, Grate, and Hood	EA	3		
12	15" RCP, Class III	LF	510		
13	Site Built Concrete Flume	EA	1		
14	NCDOT Class B Rip Rap Outlet Apron	TON	5		
Asphalt / Stone Base					
15	2" Surface Course S9.5A	TON	50		
16	Intermediate Course I19.0.B	TON	47		
17	ABC Stone (6" Base)	TON	160		
18	ABC Stone (Incidental)	TON	50		
Sedimentation and Erosion Control					
19	Seed and Mulch	SY	650		
Pavement Markings and Devices					
20	Crosswalk Striping	LF	70		
21	ADA Truncated Dome Mat (s) at Greenway	EA	1		
BASE QUOTE.....					
5% CONTINGENCY.....					

TOTAL BASE QUOTE.....					
.....				
Item No.	Description – Alternate	Unit	Quantity	Unit Price \$	Item Total \$
A.1.	Concrete Patching – 6” thk.	SY	1,000		
ALTERNATE QUOTE					
5% CONTINIGENCY.....					
.....				
TOTAL ALTERNATE QUOTE.....					

- This quote shall not add any unauthorized additions, deletions, or conditional quote.
- Quoter agrees that any and all excavations are unclassified.
- This quote will remain subject to acceptance for **thirty (30)** days after the date of submittal given above and that once accepted and the Contract executed between the City and the Contractor that the unit prices shall remain as submitted herewith for a period of **three hundred sixty-five (365)** days.
- Quoter agrees that the Work will be substantially complete within **ninety (90)** days after the Notice to Proceed is executed, and completed and ready for final payment on or within **one hundred twenty (120)** after the effective date of the contract. Time is of the essence.

Do Not Separate the Quote Form and Signature of Quoter sheets from the Contract Document Book.

***** SIGNATURE OF QUOTER *****

If an Individual

Contractor's License Number: _____

License Expiration Date: _____

By: _____
(signature of individual)

doing business as: _____

Business address: _____
City: _____ State: _____ ZIP: _____

Phone No.: _____

Date: _____, 20__

ATTEST: _____

If a Partnership

Contractor's License Number: _____

License Expiration Date: _____

By: _____
(firm name)

(signature of general partner)

doing business as: _____

Business address: _____
City: _____ State: _____ ZIP: _____

Phone No.: _____

Date: _____, 20__

ATTEST: _____ Title: _____

If a Corporation

Contractor's License Number: _____

License Expiration Date: _____

By: _____
(corporation name)

(signature of authorized official) Title: _____

Business address: _____
City: _____ State: _____ ZIP: _____

Phone No.: _____

Date: _____, 20__

ATTEST: _____ Title: _____

(Seal)

If a Joint Venture (OTHER PARTY MUST SIGN BELOW)

If an Individual

Contractor's License Number: _____

License Expiration Date: _____

By: _____
(signature of individual)

doing business as: _____

Business address: _____

City: _____ State: _____ ZIP: _____

Phone No.: _____

Date: _____, 20__

ATTEST: _____

If a Partnership

Contractor's License Number: _____

License Expiration Date: _____

By: _____
(firm name)

(signature of general partner)

doing business as: _____

Business address: _____

City: _____ State: _____ ZIP: _____

Phone No.: _____

Date: _____, 20__

ATTEST: _____ Title: _____

If a Corporation

Contractor's License Number: _____

License Expiration Date: _____

By: _____
(corporation name)

(signature of authorized official) Title: _____

Business address: _____
City: _____ State: _____ ZIP: _____

Phone No.: _____

Date: _____, 20__

ATTEST: _____ Title: _____

(Seal)

- Continued Next Page -

DEBARRED FIRMS CERTIFICATION FORM

FROM: City of Concord City Council
P.O. Box 308 - 26 Union Street, South
Concord, North Carolina 28026-0308

TO: Quoters of **Patton Ct., SE Sidewalk Extension**

The undersigned hereby certifies that the firm of _____
has not been suspended by the State of North Carolina or any Agency or Department thereof for
conviction or indictment or any of the offences enumerated in N.C.G.S. 133-27 nor will award
any subcontracts of any tier to firms that have been suspended for conviction or indictment of any
of the offenses enumerated in N.C.G.S. 133-27.

Name of firm

Signature of authorized official

Title: _____

ATTEST: _____

Title: _____

(Seal)

_____ County
North Carolina

Sworn and Subscribed before me this the ___ day of _____, 2012

Signature of Notary Public

(Print or type name of Notary Public)



**TRANSPORTATION DEPARTMENT
EXHIBIT "B"**

**SPECIAL CONDITIONS
PATTON CT., SE SIDEWALK EXTENSION**

SC-1. – Pre-Quote Conference and Questions by Quoters

A qualifying Pre-Quote Conference (walk through of the proposed project) will be held on January 17, 2012 on-site at the Greenway connector at **9:30 AM**, weather permitting. (See attached Plans or call 704-920-5338 if further information is needed). Attendance will be required to submit a quote for this work. All questions about the meaning or intent of the Quoting Documents and the contract documents shall be submitted to the Director at 704-920-5362 for consideration. Any interpretations or clarifications considered necessary by the Director in response to such questions will be issued by Addenda mailed, Faxed or delivered to all parties recorded by the Transportation Department as having received the Quoting Documents package. Quotes will be received on January 24, 2012 by close of business at the office of the Transportation Director, 850 Warren C. Coleman Blvd., Concord, N.C. 28025. Questions received less than 2 days prior to the date for receiving the quotes may not be answered. Only answers issued by Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.

SPC-2. – General Instructions

- Quotes may be modified or withdrawn by an appropriate document duly executed and delivered to the place where quotes are to be submitted at any time prior to the opening of the quotes. If, within 24 hours after quotes are opened, and a Quoter files a duly signed, written notice with the City and promptly thereafter demonstrated to the reasonable satisfaction of the City that there was a material and substantial mistake in the preparation of its quote, that Quoter may withdraw its quote. Thereafter, that Quoter will be disqualified from further quoting on the work to be provided under the contract documents.
- The Quote Form furnished by the City shall be used and shall not be altered in any manner. Do not separate the Quote Form from the Quoting Document packet.
- All entries on the Quote Form, including signatures, shall be written in ink.
- The Quoter shall submit a unit price for every item on the Quote Form. The amount quoted for each item shall be determined by multiplying each unit quoted by the quantity for that item.
- The total amount quoted shall be written in the proper place on the Quote Form. The total amount shall be determined by adding the amounts quoted for each item.
- Changes in any entry shall be made by marking through the entry in ink and making the correct entry adjacent thereto in ink. A representative of the Quoter shall initial the change in ink.
- The Quote Form shall be properly executed. All quotes shall show the following information:
 - a. Name of individual, firm, corporation, partnership, or joint venture submitting the quote.
 - b. Name of individual or representative submitting the quote and position or title.
 - c. Name, signature, and position or title of witness.
 - d. Federal Identification Number
 - e. Contractor's License Number
- Quotes submitted by corporations shall bear the seal of the corporation.
- Quotes shall not contain any unauthorized additions, deletions, or conditional quotes.
- The Quoter shall not add any provision reserving the right to accept or reject an award, or to enter into a contract pursuant to an award.

SC-3. – Bond for Quoting, Other Bonds

A bond or deposit for quoting the work is not required. Refer to Sec. 16. Bonding in the Construction Contract document with regards to Performance and Payment Bonds.

SC-4. – Award, Waiver of Informalities and Right of Rejection

The award of the contract, if it is to be awarded, will be made based on an evaluation of the total base quote and the total alternate quote by the City. The City may accept the total base quote only or may accept both the total base quote with the total alternate quote. Quoter will be notified that their Quote has been accepted and that he/she has been awarded the contract.

The City of Concord reserves the right to waive informalities and also reserves the right to reject any or all quotes.

SC-5. – Privilege License and Sales Taxes

The selected contractor shall be required to hold a current City of Concord Privilege License. Contact the City of Concord Tax Office at 704-920-5216 for information with regards to this requirement.

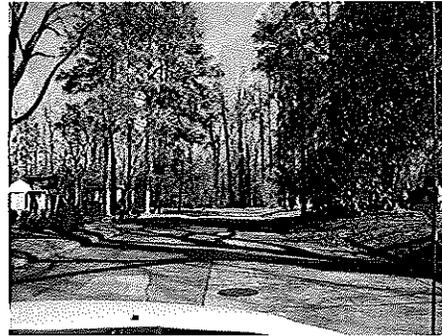
Provisions for sales and use taxes are as set forth by the requirements and direction of the City of Concord Finance Department. Any questions with regards to such shall be coordinated through the City of Concord Finance Department.

SC-6. – Retainage

Refer to Sec. 4a. – Retainage of the Construction Contract with regards to retainage.

SPC-7. – Project Location

Locations of the work shall be that as indicated on the construction plans for the Patton Ct., SE Sidewalk Extension project.



Project Location

SC-8. – Certain Provisions Relating to the Project Construction:

General, Special Notes, Details and Questions

- Refer to the Construction Plans for special details and items of general and special natures. In the event that the Contractor or his subcontractor has a question as to the work, the Contractor will notify the Director or the Director’s representative for resolution.

Sedimentation and Erosion Control

- The selected Contractor shall provide sedimentation and erosion control measures as specified on the construction plans or as deemed necessary due to weather conditions as directed by the

Director or his designated representative. All measures whether specified on the plans and/or deemed necessary or not covered and considered incidental to the work shall be included in the quoted cost of Earthwork.

- Dust Control measures shall be used to mitigate and control concrete dust from saw cutting operations. At the end of each workday, the Contractor shall clean the site of any debris and waste materials (such as dust / dirt on the streets or sidewalk) that result from the work and secure the work from any hazardous condition.

Project Rights-of Way and Construction Easements

- In the event that the Contractor or his Subcontractor has a question with regards to right(s)-of-way or easement(s), said question shall be directed to the City of Concord Engineering Surveying Section for resolution. Any lines of easement or right-of-way shown on the plans are for general information only and do not represent the exact field location of such item.
- Note – City reserves the right to delay construction on certain sections of the project alignment as discussed during the Pre-Quote Conference due to inability of the City to obtain right-of-way or construction easements.

Sidewalks, Curb and Gutter and Wheelchair Ramps (Concrete Work)

- Sidewalk joint spacing shall be no less than 5-ft.
- Seal expansion joints where sidewalk and wheelchair ramps are placed adjacent to the curb and gutter.
- Width shall be as designated by the construction plans or Director's representative.
- Do not seal groove joints.
- Where sidewalks are less than 5-ft. wide, a passing zone shall be installed at the direction of the Director's representative, but in no case shall a passing zone be greater than 200-ft.
- Sidewalks are to be sloped at ¼-in. / 1-ft. towards the gutter and shall have a light broom finish.
- Where construction crosses a driveway, the sidewalk shall be 6-in. thick. "High Early Strength Concrete" is to be placed at driveway crossings or as specified by the Director's representative.
- Do not place vehicles on the completed curb and gutter work until after 7 curing days or 3 curing days if "High Early Strength Concrete" is used.
- Refer to North Carolina Department of Transportation Raleigh, "Highway Design Branch Roadway Standard Drawings" Latest Ed. with respect to Curb and Gutter work.
- Wheelchair access points to streets shall be in accordance with Standard Drawings the plans. Detectable Domes shall be of the color RED.

Stormwater Piping

- Questions with regards to lines, grades, typical cross-sections, location – vertical and horizontal - of stormwater structures such be directed to the Director or the Director's representative. Contractor shall verify project construction control and provide all construction layouts.
- Inverts in junction boxes, catch basins shall be smooth and shall formed so as minimize sediment accumulation.
- Lids and covers shall be traffic or pedestrian rated as warranted or determined by the Director or the Director's representative and shall be flush with the travel surface except where designed to provide an inlet for stormwater flows.

Backfilling

- Do not place blackfill adjacent to fresh concrete until at least 3 curing days.
- Compact backfill to the satisfaction of the Director's representative.
- Complete backfill within 4 calendar days after the completion of the 3-day curing period.

Asphalt

- Placement of asphalt material shall be made in a continuous operation. Do not begin work on another section of roadway unless satisfactory progress is being and all other required incidental work is complete.
- Place asphalt concrete base course material with asphalt pavement spreaders made for such purpose, or with other equipment approved by the Engineer.

- The actual asphalt binder content will be established during construction by the Director or the Director's representative within the limits established in the Standard Specifications. In the event that a different mix is specified by the Director or proposed in writing by the Contractor, and if the Contractor and the Director mutually agree to a contract unit price for the new mix type Pay Item, payment will be made in accordance with the appropriate Method of Measurement and Basis of Payment given in the General Conditions.

Utility Relocations

- All utility service relocations shall be in accordance with the contract documents, referenced specifications and contract drawings. Questions with regards to such are to be directed to the Director or the Director's representative.

Construction Layout and Staking

- Questions with regards to lines, grades, typical cross-sections, location – vertical and horizontal - of structures such be directed to the Director or the Director's representative. Contractor shall verify project construction control and provide for all construction layouts.

SPC-9. – Final Acceptance of the Work

If failure of an item of work occurs within the time of the contract, the Contractor shall begin to make necessary repair(s) within two weeks, weather pending. Should the Contractor fail to make necessary repairs within the given time frames established, the City of Concord will make repairs and shall be reimbursed by the Contractor for all labor, tools, and materials necessary to correct the failure. Such reimbursements shall be deducted from the money due the Contractor or shall be billed to Contractor.

The Director or the Director's representative reserves the right to perform the final (and all) inspection(s) and make all final acceptance of the work. The Contractor will be responsible for protection from vandalism and maintenance of newly installed, fresh poured concrete such as sidewalk sections, wheelchair ramps, curb and gutter, etc. prior to acceptance by the City.

SC-10. – Minority – Disadvantaged Business Participation

In accordance with 1 NC Administrative Code 30 I.0101, it is the policy of the City of Concord that Quoters undertake good faith efforts to recruit minority – disadvantaged business participation in the project. Quoters will need to provide an affidavit of good faith efforts to comply with this policy as part of submitting a responsive bid. Forms for use by Quoters in making said good faith efforts to recruit minority – disadvantaged business participation in the project and are hereby made part of the Special Conditions (see following **Forms with regards to this**).

SC-11. – MDBP Reporting

The Contractor shall also submit a copy of the minority – disadvantaged business participation statement with the final payment invoice to report all minority – disadvantaged business participation on the project to Mr. Sid Talbert, Purchasing Manager, Alfred M. brown Operations Center, 850 Warren C. Coleman Blvd., Concord, N.C. 28025. In the event that the Contractor had no minority – disadvantaged business participation on the project, the Contractor will still be required to submit such reporting as no participation. Where participation is from minority – disadvantaged business material suppliers or manufacturers, the statement shall indicate the appropriate percentage (60% for regular dealers and 100% for manufacturers) of expenditures to be reported. The final invoice will not be processed without submission of the report.

State of North Carolina AFFIDAVIT A – Listing of Good Faith Efforts

County of _____

(Name of Bidder)

Affidavit of _____

I have made a good faith effort to comply under the following areas checked:

Bidders must earn at least 50 points from the good faith efforts listed for their bid to be considered responsive. (1 NC Administrative Code 30 I.0101)

- 1 – (10 pts) Contacted minority businesses that reasonably could have been expected to submit a quote and that were known to the contractor, or available on State or local government maintained lists, at least 10 days before the bid date and notified them of the nature and scope of the work to be performed.
- 2 --(10 pts) Made the construction plans, specifications and requirements available for review by prospective minority businesses, or providing these documents to them at least 10 days before the bids are due.
- 3 – (15 pts) Broken down or combined elements of work into economically feasible units to facilitate minority participation.
- 4 – (10 pts) Worked with minority trade, community, or contractor organizations identified by the Office of Historically Underutilized Businesses and included in the bid documents that provide assistance in recruitment of minority businesses.
- 5 – (10 pts) Attended prebid meetings scheduled by the public owner.
- 6 – (20 pts) Provided assistance in getting required bonding or insurance or provided alternatives to bonding or insurance for subcontractors.
- 7 – (15 pts) Negotiated in good faith with interested minority businesses and did not reject them as unqualified without sound reasons based on their capabilities. Any rejection of a minority business based on lack of qualification should have the reasons documented in writing.
- 8 – (25 pts) Provided assistance to an otherwise qualified minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letters of credit, including waiving credit that is ordinarily required. Assisted minority businesses in obtaining the same unit pricing with the bidder's suppliers in order to help minority businesses in establishing credit.
- 9 – (20 pts) Negotiated joint venture and partnership arrangements with minority businesses in order to increase opportunities for minority business participation on a public construction or repair project when possible.
- 10 - (20 pts) Provided quick pay agreements and policies to enable minority contractors and suppliers to meet cash-flow demands.

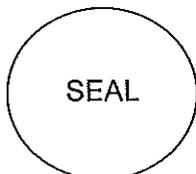
The undersigned, if apparent low bidder, will enter into a formal agreement with the firms listed in the Identification of Minority Business Participation schedule conditional upon scope of contract to be executed with the Owner. Substitution of contractors must be in accordance with GS143-128.2(d) Failure to abide by this statutory provision will constitute a breach of the contract.

The undersigned hereby certifies that he or she has read the terms of the minority business commitment and is authorized to bind the bidder to the commitment herein set forth.

Date: _____ Name of Authorized Officer: _____

Signature: _____

Title: _____



State of North Carolina, County of _____
Subscribed and sworn to before me this _____ day of _____ 20____
Notary Public _____
My commission expires _____

State of North Carolina --AFFIDAVIT B-- Intent to Perform Contract with Own Workforce.

County of _____

Affidavit of _____

(Name of Bidder)

I hereby certify that it is our intent to perform 100% of the work required for the _____

_____ contract.

(Name of Project)

In making this certification, the Bidder states that the Bidder does not customarily subcontract elements of this type project, and normally performs and has the capability to perform and will perform all elements of the work on this project with his/her own current work forces; and

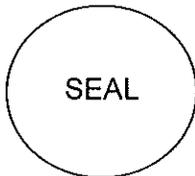
The Bidder agrees to provide any additional information or documentation requested by the owner in support of the above statement.

The undersigned hereby certifies that he or she has read this certification and is authorized to bind the Bidder to the commitments herein contained.

Date: _____ Name of Authorized Officer: _____

Signature: _____

Title: _____



State of North Carolina, County of _____

Subscribed and sworn to before me this _____ day of _____ 20__

Notary Public _____

My commission expires _____

State of North Carolina - AFFIDAVIT C - Portion of the Work to be Performed by Minority Firms

County of _____

(Note this form is to be submitted only by the apparent lowest responsible, responsive bidder.)

If the portion of the work to be executed by minority businesses as defined in GS143-128.2(g) is equal to or greater than 10% of the bidders total contract price, then the bidder must complete this affidavit. This affidavit shall be provided by the apparent lowest responsible, responsive bidder within **72 hours** after notification of being low bidder.

Affidavit of _____ I do hereby certify that on the _____
(Name of Bidder)

Project ID# _____ (Project Name) Amount of Bid \$ _____

I will expend a minimum of _____% of the total dollar amount of the contract with minority business enterprises. Minority businesses will be employed as construction subcontractors, vendors, suppliers or providers of professional services. Such work will be subcontracted to the following firms listed below. Attach additional sheets if required

Name and Phone Number	*Minority Category	Work description	Dollar Value

*Minority categories: Black, African American (B), Hispanic (H), Asian American (A) American Indian (I), Female (F) Socially and Economically Disadvantaged (D)

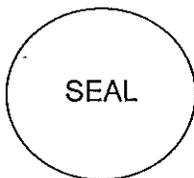
Pursuant to GS143-128.2(d), the undersigned will enter into a formal agreement with Minority Firms for work listed in this schedule conditional upon execution of a contract with the Owner. Failure to fulfill this commitment may constitute a breach of the contract.

The undersigned hereby certifies that he or she has read the terms of this commitment and is authorized to bind the bidder to the commitment herein set forth.

Date: _____ Name of Authorized Officer: _____

Signature: _____

Title: _____



State of North Carolina, County of _____

Subscribed and sworn to before me this _____ day of _____ 20____

Notary Public _____

My commission expires _____

State of North Carolina

AFFIDAVIT D – Good Faith Efforts

County of _____

(Note this form is to be submitted only by the apparent lowest responsible, responsive bidder.)

If the goal of 10% participation by minority business **is not** achieved, the Bidder shall provide the following documentation to the Owner of his good faith efforts:

(Name of Bidder)

Affidavit of: _____
 I do certify the attached documentation as true and accurate representation of my good faith efforts.

(Attach additional sheets if required)

Name and Phone Number	*Minority Category	Work description	Dollar Value

*Minority categories: Black, African American (**B**), Hispanic (**H**), Asian American (**A**) American Indian (**I**), Female (**F**) Socially and Economically Disadvantaged (**D**)

Documentation of the Bidder's good faith efforts to meet the goals set forth in these provisions. Examples of documentation include, but are not limited to, the following evidence:

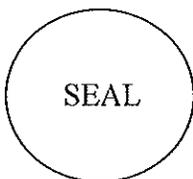
- A. Copies of solicitations for quotes to at least three (3) minority business firms from the source list provided by the State for each subcontract to be let under this contract (if 3 or more firms are shown on the source list). Each solicitation shall contain a specific description of the work to be subcontracted, location where bid documents can be reviewed, representative of the Prime Bidder to contact, and location, date and time when quotes must be received.
- B. Copies of quotes or responses received from each firm responding to the solicitation.
- C. A telephone log of follow-up calls to each firm sent a solicitation.
- D. For subcontracts where a minority business firm is not considered the lowest responsible sub-bidder, copies of quotes received from all firms submitting quotes for that particular subcontract.
- E. Documentation of any contacts or correspondence to minority business, community, or contractor organizations in an attempt to meet the goal.
- F. Copy of pre-bid roster.
- G. Letter documenting efforts to provide assistance in obtaining required bonding or insurance for minority business.
- H. Letter detailing reasons for rejection of minority business due to lack of qualification.
- I. Letter documenting proposed assistance offered to minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letter of credit, including waiving credit that is ordinarily required.

Failure to provide the documentation as listed in these provisions may result in rejection of the bid and award to the next lowest responsible and responsive bidder.

Date: _____ Name of Authorized Officer: _____

Signature: _____

Title: _____



State of North Carolina, County of _____
 Subscribed and sworn to before me this _____ day of _____ 20____
 Notary Public _____
 My commission expires _____



EXHIBIT "C"
ATTACHMENT SHEET FOR
QUOTE BOND

NOT REQUIRED

TRANSPORTATION DEPARTMENT

P.O. Box 308 - 850 Warren C. Coleman Blvd.
Concord, North Carolina 28026-0308
704-920-5331



EXHIBIT "D"
NOTICE OF AWARD AND ACCEPTANCE OF NOTICE

TO:

FROM: City of Concord
P.O. Box 308 - 26 Union Street, South
Concord, North Carolina 28026-0308

PROJECT: Patton Ct., SE Sidewalk Extension

You are hereby notified that the Quote submitted by you for the above named project in response to the City of Concord's Solicitation of Quotes dated January 3, 2012, in the amount of _____ and /100,....DOLLARS (\$ _____) has been accepted.

You are hereby requested to execute the formal Contract with the City of Concord and to furnish your Contractor's Certificate of Insurance, Performance Bond, Payment Bond, and associated Power of Attorney(s) along with any other documents pertaining to the work as designated by the City of Concord.

Dated this the ____ day of _____, 2012.

City of Concord, North Carolina

By: _____
Title: City Manager

ACCEPTANCE OF NOTICE

Receipt of the above **NOTICE OF AWARD** is hereby acknowledged this the ____ day of _____, 2012.

Witness

By: _____
Title: _____



EXHIBIT "E"
ATTACHMENT SHEET FOR
CERTIFICATE(S) OF INSURANCE

(Refer to the Standard Form Construction Contract for other information and directions for insurance certificates.)

TRANSPORTATION DEPARTMENT

P.O. Box 308 - 850 Warren C. Coleman Blvd.
Concord, North Carolina 28026-0308
704-920-5331



EXHIBIT "F"
ATTACHMENT SHEET FOR
PAYMENT BOND

TRANSPORTATION DEPARTMENT

P.O. Box 308 - 850 Warren C. Coleman Blvd.
Concord, North Carolina 28026-0308
704-920-5331



EXHIBIT "G"
FINANCE DEPARTMENT
SUPPLIED FORMS

(Refer to the following forms for Sales Tax and Payment Requests.)

TRANSPORTATION DEPARTMENT

P.O. Box 308 - 850 Warren C. Coleman Blvd.
Concord, North Carolina 28026-0308
704-920-5331

PERFORMANCE BOND

Date of Execution of this Bond _____

Name and Address of Principal (Contractor) _____

Name and Address of Surety _____

Name and Address of Contracting Body City of Concord
26 Union Street, South P.O. Box 308
Concord, North Carolina 28025

Amount of Bond _____

Contract That certain contract by and between the Principal and the Contracting Body above named dated Notice to Proceed Date specified on EXHIBIT H
For: **Patton Ct., SE Sidewalk Extension**

KNOW ALL MEN BY THESE PRESENTS, that we, the PRINCIPAL and SURETY above named, are held and firmly bound unto the above-named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the Principal entered into a certain contract with the Contracting Body, identified as shown above and hereto attached;

NOW THEREFORE, if the Principal shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term of said contract and any extensions thereof that may be granted by the Contracting Body, with or without notice to the Surety, and during the life of any guaranty required under the contract, and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of any and all duly authorized modifications of the contract that may hereafter be made, notice of which modifications to the Surety being hereby waived, then, this obligation to be void; otherwise, to remain in full force and virtue.

PERFORMANCE BOND: (Continued)

THIS PERFORMANCE BOND is made and given pursuant to the requirements and provisions of Section 129 of Chapter 143 of the General Statutes of North Carolina and pursuant to Article 3 of Chapter 44-A of the General Statutes of North Carolina, and each and every provision set forth and contained in Section 129 of Chapter 143 and in Article 3 of Chapter 44-A of the General Statutes of North Carolina is incorporated herein, made a part hereof, and deemed to be conclusively written into this Bond.

IN WITNESS WHEREOF, the above-bounden parties have executed this instrument under their several seals as of the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned and representative, pursuant to authority of its governing body.

WITNESS:

Principal (Name of individual and trade name,
partnership, corporation, or joint venture)

(Proprietorship or Partnership)

BY _____(SEAL)

TITLE _____
(Owner, Partner, Office held in
corporation, joint venture)

ATTEST: (Corporation)

(Corporate Seal of Principal)

BY _____

TITLE _____
(Corporation Secretary or
Assistant Secretary Only)

Surety (Name of Surety Company)

WITNESS:

BY _____

TITLE _____ Attorney in Fact

(Corporate Seal of Surety)

COUNTERSIGNED:

(Address of Attorney in Fact)

N.C. Licensed Resident Agent

City of Concord
Post Office Box 308
Concord, North Carolina 28026-0308

For Office Use Only:
Charge to P.O. # _____
Due _____

PROJECT: Patton Ct., SE Sidewalk Extension

Date Notice to Proceed: _____
Completion Date: _____
Days Remaining in Contract: _____
Percent Work Complete: _____
Percent Time Complete: _____
Percent Payment Complete: _____

APPLICATION FOR PAYMENT NO. _____ **SHEET NO.** _____ **OF** _____

PERIOD FROM: _____ **TO:** _____

CERTIFICATE OF THE CONTRACTOR

To the best of my knowledge and belief, I certify that this periodical estimate is correct and all work has been performed and materials supplied in full accordance with the terms and conditions of the contract documents between the undersigned contractor and the City of Concord.

GROSS AMOUNT OF PARTIAL PAYMENT - - -	\$
LESS: RETAINAGE AT <u> </u> PERCENT-----	\$
PREVIOUS PAYMENT -----	\$
LIQUIDATION DAMAGES	
<u> </u> DAYS @ \$ <u> </u> -----	\$
OTHER DEDUCTIONS:	
_____ -----	\$
_____ -----	\$
TOTAL DEDUCTIONS -----	\$
NET AMOUNT DUE THIS ESTIMATE -----	\$

Name of Contractor: _____ Address: _____

Signed: _____ Title: _____ Date: _____

CERTIFICATE OF CONSTRUCTION ADMINISTRATOR/ENGINEER

I certify that I have verified this periodical estimate and that to the best of my knowledge and belief, it is a true and correct statement of work performed and materials supplied under the contract.

Consultant Engineer: _____ Date: _____

Construction Administrator: _____ Date: _____

APPROVED AND PAYMENT RECOMMENDED:
CITY OF CONCORD

Signed: _____ Title: _____ Date: _____



EXHIBIT "H"
NOTICE TO PROCEED - DATE OF AVAILABILITY

TO:

FROM: City of Concord
P.O. Box 308 - 26 Union Street, South
Concord, North Carolina 28026-0308

PROJECT: Patton Ct., SE Sidewalk Extension

PROJECT DESCRIPTION: The work includes, but is not limited to, the selected Contractor performing work on the Patton Ct., SE Sidewalk Extension for the construction and installation as indicated on the construction plans and discussed in the pre-quote walk through including, but is not limited to, all mobilizations, demobilizations, control, layout, materials, tools, labor, rentals, consumables, miscellaneous hardware, supporting hardware and software, clearing, grubbing, excavations, hauling operations, filling, compaction, rough grading and fine grading, compaction, installation and / or adjustments of stormwater drainage conveyance structures, incidental relocations, structural stabilization, forming, backfilling, sawing exiting concrete and asphalt, furnishing, placing, and finishing concrete, construction joints, ADA accommodations, milling, tacking, furnishing, placing and compaction of asphalt, sedimentation and erosion control, touch-up repairs, security of the work, traffic control and safety operations with associated materials, removal of any debris, cleanup, and disposal(s), special subcontracting, permits and licenses, seeding, mulching, miscellaneous landscaping and relocations, and all else required as necessary in accordance with the Contract documents, City Technical Standards Manual, Standard Specifications, Standard Drawings to the satisfaction of the Director and the City of Concord.

You are hereby formally notified to commence work on or before the ____ day of _____, 2012 (NOTICE TO PROCEED date) under the assigned City of Concord Purchase Order No. _____ in accordance with the Contract documents for the work.

Dated this ____ the day of _____, 2012

City of Concord, North Carolina

By: _____
Title: City Manager

**STANDARD FORM CONSTRUCTION CONTRACT
PATTON CT., SE SIDEWALK EXTENSION**

This contract is made and entered into as of the NOTICE TO PROCEED date, by the City of CONCORD ("City") and _____ ("Contractor"), () a corporation, () a professional corporation, () a professional association, () a limited partnership, () a sole proprietorship, or () a general partnership; organized and existing under the laws of the State of _____.

Sec. 1. Background and Purpose. This contract is for sidewalk extension(s) and other associated work on designated streets in the City of Concord. All work and materials shall meet and be in accordance with the provisions of the City Technical Standards Manual, the North Carolina Department of Transportation Standard Specifications for Roads and Structures, Latest Edition, the North Carolina Department of Transportation Roadways Standards Drawings, Latest Edition and the Manual on Uniform Traffic Control Devices, Latest Edition. The Contractor shall keep himself fully informed of all Federal, State, and local laws, ordinances, and regulations.

Sec. 2. Services and Scope to be Performed. The Contractor shall provide construction and installation work as indicated on the construction plans and discussed in the pre-quote walk through including, but is not limited to, all mobilizations, demobilizations, control, layout, materials, tools, labor, rentals, consumables, miscellaneous hardware, supporting hardware and software, clearing, grubbing, excavations, hauling operations, filling, compaction, rough grading and fine grading, compaction, installation and / or adjustments of stormwater drainage conveyance structures, incidental relocations, structural stabilization, forming, backfilling, sawing exiting concrete and asphalt, furnishing, placing, and finishing concrete, construction joints, ADA accommodations, milling, tacking, furnishing, placing and compaction of asphalt, sedimentation and erosion control, touch-up repairs, security of the work, traffic control and safety operations with associated materials, removal of any debris, cleanup, and disposal(s), special subcontracting, permits and licenses, seeding, mulching, miscellaneous landscaping and relocations, and all else required as necessary in accordance with the Contract documents, Standard Specifications, Standard Drawings to the satisfaction of the Director and the City of Concord at the charges set forth either in this paragraph and Exhibits listed in Sec. 10. Attachments.

Additional Exhibits may be used to further define this Agreement when the Contractor and City so agree. Any additional exhibits shall be designated as exhibits to the Agreement with capitalized, sequential letters of the alphabet, shall be attached hereto and incorporated herein by reference as if the same were fully recited, and shall become terms of this Agreement upon execution by both parties.

In this contract, "services" means the services that the Contractor is required to perform pursuant to this contract and all of the Contractor's duties to the City that arise out of this contract. Any amendments, corrections, or change orders by either party must be made in writing signed in the same manner as the original. (This form may be used for amendments and change orders.) The City reserves the right to refuse payment for any work outside that authorized herein or pursuant to a duly approved amendment or change order.

Sec. 3. Complete Work without Extra Cost. Unless otherwise provided, the Contractor shall obtain and provide, without additional cost to the City, all labor, materials, equipment, transportation, facilities, services, permits, and licenses necessary to perform the Work.

Sec. 4. Compensation. The City shall pay the Contractor for the Work as described in this paragraph below OR as described in Exhibit A attached. In the event of a conflict, the provisions of this paragraph shall control. Any additional expenses or charges shall only be paid after both the City and the Contractor agree to and execute a written change order. The City shall not be obligated to pay the Contractor any fees, payments, expenses or compensation other than those authorized in this contract or in a duly-approved change order.

\$299,999. The City shall withhold retainage on contracts whose total project cost exceeds \$300,000. When withheld, retainage shall equal no more than five percent of each progress payment. When the project is fifty per cent complete, the City shall not retain anything from future project payments provided that (i) the surety concurs in writing, (ii) the Contractor continues to perform satisfactorily, (iii) any non-conforming work identified in writing by the architect, engineer(s) or City has been corrected by the Contractor and accepted by the architect, engineer(s) or City. However, if the City determines that the Contractor's performance is unsatisfactory, the City may withhold up to five percent retainage from each project payment. The City may withhold additional amounts above five percent for unsatisfactory job progress, defective construction not remedied, disputed work, third party claims filed against the owner or reasonable evidence that a third-party claim will be filed.

Definitions:

"Total Project Cost": Total value of the Contract and any approved change orders or amendments.

"Project Fifty Percent Complete": When the Contractor's gross project invoices (excluding the value of the materials stored off-site) equal or exceed fifty percent of the value of the contract, except that the value of materials stored on-site shall not exceed twenty percent of the Contractor's gross project invoices for the purpose of determining whether the project is fifty percent complete.

Sec. 5. Term. The Contractor shall commence work on the written Notice to Proceed date from the City. The date of the written Notice to Proceed date shall be the "Commencement Date." All work as set forth in the Scope of Services in Exhibit "A" shall be completed within ONE HUNDRED TWENTY (120) calendar days of the Commencement Date. The date that is ONE HUNDRED TWENTY (120) calendar days from the Commencement Date shall be the "Completion Date."

Time is of the essence with regard to this Project. If Contractor's obligations are not completed by the Completion Date, the City reserves the right to nullify this Agreement, order the Contractor to immediately cease all work under this Agreement and vacate the premises, and to seek professional services equivalent to those outlined in Exhibit "A." The Contractor shall be held accountable for all damages incurred by the City as a consequence of the missed Completion Date. The exercise of any of these rights by the City shall not be interpreted to prejudice any other rights the City may have in law or equity. This Contract shall not be automatically extended unless agreed to in writing by the City or as provided in Exhibit "A".

Sec. 6. Contractor's Billings to City. Payments will be made in accordance with the schedule found in this section below OR attached at Exhibit A. Contractor shall submit an original pay request (invoice) to the City Purchasing Agent by the first of each month in order to expedite payment. Upon receipt of the request the City Purchasing Agent shall verify the amounts and if correct forward the request to the Accounts Receivable Division of the Finance Dept. Final payment on the contract shall be made in 45 days, except in the case of retainage. Within 60 days after the submission of the final pay request, the City (with the written consent of the surety) shall release to the Contractor all retainage payments IF the City receives a certificate of substantial completion from the architect, engineer or designer-in-charge of the project OR the City receives beneficial occupancy and use of the project. In either case, the City may retain up to 2.5 times the estimated value of the work to be completed or corrected.

Sec. 7. Insurance. Contractor shall maintain and cause all sub-contractors to maintain insurance policies at all times with minimum limits as follows:

<u>Coverage</u>	<u>Minimum Limits</u>
Workers' Compensation	\$100,000 each accident, \$500,000 bodily injury by disease, \$500,000 bodily injury by disease policy limit
General Liability	<input type="checkbox"/> \$500,000 per occurrence if contract does not exceed 30 days and does not exceed \$25,000;

	<input checked="" type="checkbox"/>	otherwise, \$1,000,000 per occurrence/\$2,000,000 aggregate
Automobile Liability	<input checked="" type="checkbox"/>	\$500,000 per occurrence if contract does not exceed 180 days and does not exceed \$500,000; otherwise, <input type="checkbox"/> \$1,000,000 per occurrence
Umbrella	<input checked="" type="checkbox"/>	\$1,000,000 per occurrence if contract does not exceed 180 days and does not exceed \$500,000; otherwise, <input type="checkbox"/> \$2,000,000

Contractor shall provide a Certificate of Insurance to the City listing the City as an additional insured. Such Certificate shall be in a form acceptable to the City.

Sec. 8. Documentation Requirements:

A. Contractor shall provide the City with a **Certificate of Insurance** for review prior to the issuance of any contract or Purchase Order. All Certificates of Insurance will require written notice by the insurer or contractor's agent in the event of cancellation, reduction or other modifications of coverage by the insurer. Such notice shall be not less than 30 days for nonrenewal by the insurer, not less than 10 days for cancellation due to nonpayment of the premium and as soon as possible for all other types of modifications. In addition to the notice requirement above, Contractor shall provide the City with written notice of cancellation, reduction, or other modification of coverage of insurance whether instigated by the insurer or by the Contractor immediately upon Contractor's receipt of knowledge of such modifications. Upon failure of the Contractor to provide such notice, Contractor assumes sole responsibility for all losses incurred by the City for which insurance would have provided coverage. The insurance certificate shall be for the insured period in which the initial contract period begins and shall be renewed by the contractor for each subsequent renewal period of the insurance for so long as the contract remains in effect.

The City shall be named as an **additional insured** on all policies except Workers' Compensation and it is required that coverage be placed with "A" rated insurance companies acceptable to the City. Statement should read, "City of Concord is added as an additional insured as evidenced by an endorsement attached to this certificate." Failure to maintain the required insurance in force may be cause for termination of this Agreement. In the event that the contractor fails to maintain and keep in force the insurance herein required, the City has the right to cancel and terminate the Agreement without notice.

B. All those doing business with the City must have a current **Privilege License** issued by the City of Concord if a privilege license is authorized by law.

C. Contractor shall provide a completed W-9 form to the City prior to execution by the City of this Agreement.

Sec. 9. Performance of Work by Contractor.

(a) The Contractor warrants that all work performed under this contract conforms to the contract requirements and is free of any defect in equipment, material, or design furnished, or workmanship performed by the Contractor or any subcontractor or supplier at any tier. This warranty shall continue for a period of 1 year from the date of issuance by the City of written final completion of the work.

(b) The Contractor shall remedy at the Contractor's expense any failure to conform, or any defect. In addition, the Contractor shall remedy at the Contractor's expense any damage to City - owned or controlled real or personal property, when that damage is the result of--

- (1) The Contractor's failure to conform to contract requirements; or

- (1) The Contractor's failure to conform to contract requirements; or
- (2) Any defect of equipment, material, workmanship, or design furnished.

(c) The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's warranty with respect to work repaired or replaced will run for 1 year from the date of repair or replacement.

(d) The City shall notify the Contractor, in writing, within a reasonable time, not to exceed 30 days, after the discovery of any failure, defect, or damage.

(e) If the Contractor fails to remedy any failure, defect, or damage within a reasonable time, not to exceed 30 days unless otherwise agreed in writing and signed by the City Manager or his designee, after receipt of notice, the City shall have the right to replace repair, or otherwise remedy the failure, defect, or damage at the Contractor's expense.

(f) With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this contract, the Contractor shall--

- (1) Obtain all warranties that would be given in normal commercial practice,
- (2) Require all warranties to be executed, in writing, for the benefit of the City, if directed to do so by the City; and
- (3) Enforce all warranties for the benefit of the City, if directed to do so by the City

(g) In the event the Contractor's warranty has expired, the City may bring suit at its expense to enforce a subcontractor's, manufacturer's, or supplier's warranty.

(h) Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defects of material or design furnished by the City nor for the repair of any damage that results from any defect in City-furnished material or design.

Sec. 10. Performance of Work by City. If the Contractor fails to perform the Work in accordance with the schedule referred to in Exhibit "A", the City may, in its discretion, perform or cause to be performed some or all of the Work, and doing so shall not waive any of the City's rights and remedies. Before doing so, the City shall give the Contractor reasonable notice of its intention. The Contractor shall reimburse the City for all costs incurred by the City in exercising its right to perform or cause to be performed some or all of the Work pursuant to this section.

Sec. 11. Attachments. The following attachments are made a part of this contract and incorporated herein by reference: SOLICITATIONS OF QUOTES, EXHIBIT "A" GENERAL CONDITIONS AND QUOTE FORM, ANY ADDENDUM(S) TO EXHIBIT A, EXHIBIT "B" SPECIAL CONDITIONS, INCLUDING ANY PLANS, SPREADSHEET(S) AND / OR MAP(S), MINORITY BUSINESS FORMS, EXHIBIT "C" ATTACHMENT SHEET FOR AND QUOTE BOND (IF REQUIRED), EXHIBIT "D" NOTICE OF AWARD AND ACCEPTANCE OF NOTICE, EXHIBIT "E" ATTACHMENT SHEET FOR AND CERTIFICATE OF INSURANCE, EXHIBIT "F" ATTACHMENT SHEET FOR AND PERFORMANCE and PAYMENT BONDS, EXHIBIT "G" ATTACHMENT SHEET AND FINANCE PROVIDED FORMS, EXHIBIT "H" NOTICE TO PROCEED, ANY WRITTEN CHANGE ORDERS, DIRECTIVES TO THE CONTRACTOR BY THE DIRECTOR AND INSPECTION REPORTS, AND ANY WRITTEN PROPOSALS SUBMITTED BY THE CONTRACTOR TO THE DIRECTOR AND ACCEPTED AS PART OF THE CONTRACT BY THE DIRECTOR.

Sec. 12. Notice.

(a) All notices and other communications required or permitted by this contract shall be in writing and shall be given either by personal delivery, fax, or certified United States mail, return receipt requested, addressed as follows:

To the City:

J.K. Wilson, III, PE,
Transportation Director
City of Concord
P.O. Box 308
Concord, NC 28026
Fax Number: (704) 795-0404

To the Contractor:

Albert Benshoff, Esq.
City Attorney
PO Box 308
Concord, NC 28026
Fax Number: (704) 784-1791

(b) **Change of Address, Date Notice Deemed Given:** A change of address, fax number, or person to receive notice may be made by either party by notice given to the other party. Any notice or other communication under this contract shall be deemed given at the time of actual delivery, if it is personally delivered or sent by fax. If the notice or other communication is sent by US Mail, it shall be deemed given upon the third calendar day following the day on which such notice or other communication is deposited with the US Postal Service or upon actual delivery, whichever first occurs.

Sec. 13. Indemnification. To the maximum extent allowed by law, the Contractor shall defend, indemnify, and save harmless the City of Concord, its agents, officers, and employees, from and against all charges that arise in any manner from, in connection with, or out of this contract as a result of the acts or omissions of the Contractor or subcontractors or anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable except for damage or injury caused solely by the negligence of the City its agents, officers, or employees. In performing its duties under this section, the Contractor shall at its sole expense defend the City of Concord, its agents, officers, and employees with legal counsel reasonably acceptable to City. As used in this subsection – “Charges” means claims, judgments, costs, damages, losses, demands, liabilities, duties, obligations, fines, penalties, royalties, settlements, expenses, interest, reasonable attorney’s fees, and amounts for alleged violations of sedimentation pollution, erosion control, pollution, or other environmental laws, regulations, ordinances, rules, or orders. Nothing in this section shall affect any warranties in favor of the City that are otherwise provided in or arise out of this contract. This section is in addition to and shall be construed separately from any other indemnification provisions that may be in this contract. This section shall remain in force despite termination of this contract (whether by expiration of the term or otherwise) and termination of the services of the Contract under this contract.

Sec. 14. Corporate Status. If the Contractor is dissolved or suspended and the Contractor does not notify the City of such dissolution within three (3) business days from date of dissolution or suspension, and/or the corporate status is not reinstated within thirty (30) days, this contract, at the sole option of the City, shall be declared null and void or the Contractor shall execute a new contract showing the Contractor’s correct legal entity.

Sec. 15. Miscellaneous.

(a) **Choice of Law and Forum.** This contract shall be deemed made in Cabarrus County, North Carolina. This contract shall be governed by and construed in accordance with the laws of North Carolina. The exclusive forum and venue for all actions arising out of this contract shall be the appropriate division of the North Carolina General Court of Justice, in Cabarrus County. Such actions shall neither be commenced in nor removed to federal court. This section shall not apply to subsequent actions to enforce a judgment entered in actions heard pursuant to this section.

(b) **Waiver.** No action or failure to act by the City shall constitute a waiver of any of its rights or remedies that arise out this contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed in writing.

(c) Performance of Government Functions. Nothing contained in this contract shall be deemed or construed so as to in any way estop, limit, or impair the City from exercising or performing any regulatory, policing, legislative, governmental, or other powers or functions.

(d) Severability. If any provision of this contract shall be unenforceable, the remainder of this contract shall be enforceable to the extent permitted by law.

(e) Assignment, Successors and Assigns. Without the City's written consent, the Contractor shall not assign (which includes to delegate) any of its rights (including the right to payment) or duties that arise out this contract. Unless the City otherwise agrees in writing, the Contractor and all assigns shall be subject to all of the City's defenses and shall be liable for all of the Contractor's duties that arise out of this contract and all of the City's claims that arise out of this contract. Without granting the Contractor the right to assign, it is agreed that the duties of the Contractor that arise out of this contract shall be binding upon it and its heirs, personal representatives, successors, and assigns.

(f) Compliance with Law. In performing all of the Work, the Contractor shall comply with all applicable law.

(g) City Policy. THE CITY OPPOSES DISCRIMINATION ON THE BASIS OF RACE AND SEX AND URGES ALL OF ITS CONTRACTORS TO PROVIDE A FAIR OPPORTUNITY FOR MINORITIES AND WOMEN TO PARTICIPATE IN THEIR WORK FORCE AND AS SUBCONTRACTORS AND VENDORS UNDER CITY CONTRACTS.

(h) EEO Provisions. During the performance of this Contract the Contractor agrees as follows: (1) The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, political affiliation or belief, age, or handicap. The Contractor shall take affirmative action to insure that applicants are employed and that employees are treated equally during employment, without regard to race, color, religion, sex, national origin, political affiliation or belief, age, or handicap. The Contractor shall post in conspicuous places available to employees and applicants for employment, notices setting forth these EEO provisions. (2) The Contractor in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, political affiliation or belief, age, or handicap.

(i) No Third Party Right Created. This contract is intended for the benefit of the City and the Contractor and not any other person.

(j) Principles of Interpretation. In this contract, unless the context requires otherwise the singular includes the plural and the plural the singular. The pronouns "it" and "its" include the masculine and feminine. Reference to statutes or regulations include all statutory or regulatory provisions consolidating, amending, or replacing the statute or regulation. References to contracts and agreements shall be deemed to include all amendments to them. The word "person" includes natural persons, firms, companies associations, partnerships, trusts, corporations, governmental agencies and units, and any other legal entities.

(k) Modifications, Entire Agreement. A modification of this contract is not valid unless signed by both parties and otherwise in accordance with requirements of law. Further, a modification is not enforceable against the City unless the City Manager or other duly authorized official signs it for the City. This contract contains the entire agreement between the parties pertaining to the subject matter of this contract. With respect to that subject matter, there are no promises, agreements, conditions, inducements, warranties, or understandings, written or oral, expressed or implied, between the parties, other than as set forth or referenced in this contract.

(The following section applies to construction contracts only if amount is over \$50,000)

Sec. 16. Bonding. Both performance and payment bonds for the full amount of this Contract are required to be attached. Instead of bonds, you may submit a letter from your banker or stockbroker stating that cash, certified checks or government securities in the amount of this Contract will be submitted. The performance bond shall have a value equal to 100% of this Contract. This bond shall be conditioned upon faithful performance of the contract in accordance with the plans, specifications and conditions of the contract. The performance bond shall be solely for the protection of the City. The payment bond shall be in an amount equal to 100% of the Contract, and conditioned upon the prompt payment for all labor or materials for which a contractor or subcontractor is

liable. The payment bond shall be solely for the protection of the persons furnishing materials or performance labor for which a contractor or subcontractor is liable.

Sec. 17. Dispute Resolution. It is understood and agreed that NCGS 143-128(f1-g) requires that disputes arising under an agreement for the erection, construction, alteration or repair of a building be subject to a dispute resolution process specified by the City. In compliance with this statutory provision, the City specifies this Section as the dispute resolution process to be used on this Project. It is further understood and agreed that this dispute resolution process is based on non-binding mediation and will only be effective to the extent that the Parties to any mediated dispute participate in the mediation in good faith. It is also understood and agreed that the City is under no obligation under any circumstance to secure or enforce the participation of any other Party in the mediation of any dispute subject to this Section and NCGS 143-128(f1-g).

This Section 17 does not apply to:

- (a) The purchase and erection of prefabricated or relocatable buildings or portions of such buildings, except that portion of the work that must be performed at the construction site; or**
- (b) The erection, construction alteration or repair of a building when the cost of such building is \$300,000 or less.**

17.1 Any dispute arising between or among the Parties listed in Section 17.3 that arises from an agreement to construct the Project, including without limitation a breach of such agreement, shall be subject to non-binding mediation administered by the American Arbitration Association under its Construction Industry Mediation Rules ("Rules"), except as otherwise expressly set forth in this Section. To the extent any provision of the Rules is inconsistent with the provisions of this Section, the provisions of this Section shall control. The mediation provided in this Section shall be used pursuant to this Agreement and NCGS 143-128(F1-g) and is in lieu of any dispute resolution process adopted by the North Carolina State Building Commission, which process shall not apply to this Project.

17.2 For purposes of this Section the following definitions shall apply:

- a. *Agreement to construct the Project* means an agreement to construct the Project that is subject to the requirements of NCGS 143-128 and does not include any agreement related to the Project that is not subject to said statute.
- b. *Construct or construction* refers to and includes the erection, construction, alteration or repair of the Project.
- c. *Party or Parties* refers to the parties listed in Section 16.4.
- d. *Project* means the building to be erected, constructed, altered or repaired pursuant to this Agreement.

17.3 The City and any Party contracting with the City or with any first-tier or lower-tier subcontractor for the construction of the Project agree to participate in good faith in any mediation of a dispute subject to this Section and NCGS 143-128(f1-g), including without limitation the following Parties (if any): architect(s), engineer(s), surveyor(s), construction manager, construction manager at risk, prime contractor(s), surety(ies), subcontractor(s), and supplier(s).

17.4 In order to facilitate compliance with NCGS 143-128(f1-g), the Contractor and all other Parties shall include this Section in every agreement to which it (any of them) is a Party for the construction of the Project without variation or exception. Failure to do so will constitute a breach of this Agreement, and the Contractor or other Party failing to include this Section in any agreement required by this Section shall

indemnify and hold harmless the remaining Parties from and against any and all claims, including without limitation reasonable attorney fees and other costs of litigation, arising in any manner from such breach. Notwithstanding the foregoing provisions of this Section, it is expressly understood and agreed that the Parties are intended to be and shall be third-party beneficiaries of the provisions of this Section and can enforce the provisions hereof.

17.5 The following disputes are not subject to mediation: (i) a dispute seeking a non-monetary recovery; and (ii) a dispute seeking a monetary recovery of \$15,000 or less.

17.6 A dispute seeking the extension of any time limit set forth in an agreement to construct the Project shall be subject to mediation pursuant to this Section and NCGS 143-128(f1-g), but only if the damages which would be suffered by the Party seeking the extension would exceed \$15,000 if the disputed extension is denied. To the extent that liquidated damages are set forth in such agreement as the measurement of damages for failure by such Party to meet such time limit, such liquidated damages shall be the exclusive standard for determining the amount of damages associated with such dispute.

17.7 For purposes of this Section, a dispute is limited to the recovery of monetary damages from the same transaction or occurrence against a single Party or two or more Parties alleged to be liable jointly, severally or in the alternative. Two or more disputes may not be consolidated or otherwise combined without the consent of all Parties to such disputes.

17.8 In addition to such matters as are required by the Rules, a request for mediation shall include the amount of the monetary relief requested.

17.9 Prior to requesting mediation, a Party must form a good faith belief that it is entitled under applicable law to recover the monetary amount to be included in the request from one or more of the remaining Parties. Such belief must be based on a reasonable and prudent investigation into the dispute that is the subject of the request. The request for mediation must be based on such investigation and may not include any amount or the name of any remaining Party, unless supported by such investigation and good faith belief by the Party requesting the mediation.

17.10 If a Party breaches any provision of Section 17.9, it shall indemnify and hold harmless all other Parties from any costs, including reasonable attorney fees and other costs of litigation, and damages incurred by such other Parties that arise from such breach.

17.11 All expenses incurred by a Party to a dispute in preparing and presenting any claim or defense at the mediation shall be paid by the Party. Such expenses include without limitation preparation and production of witnesses and exhibits and attorney fees. All other expenses of the mediation, including filing fees and required traveling and other expenses of the mediator, shall be borne as follows: one half by the Party requesting the mediation, with the remaining parties paying equal shares of the remaining expenses and costs; provided that, if the City is named as a party to the mediation, the City shall pay at least one-third of the mediation expenses and costs divided among the Parties. If more than one Party to a dispute requests a mediation, the mediation expenses and costs to be divided among the Parties shall be borne equally by the Parties to the dispute; provided that, if the City is named as a Party to the mediation, the City shall pay at least one-third of the mediation expenses and costs divided among the Parties.

17.12 The mediation shall be held at a location agreeable to the mediator and all of the Parties; provided that, if no agreement can be reached, the mediation will be held at such location in Cabarrus County as the mediator shall determine.

17.13 The provisions of this Section are subject to any other provision of this Agreement concerning the submission, documentation and/or proof of any claim or dispute. Such other provisions shall apply in full force and shall be satisfied as a condition precedent to mediation pursuant to this Section.

17.14 The Parties understand and agree that mediation in accordance with this Section shall be a condition precedent to institution of any legal or equitable proceeding seeking monetary recovery based on any dispute that is subject to mediation pursuant to this Section.

IN WITNESS WHEREOF, the City of Concord and the Contractor have caused this contract to be executed under seal by their respective duly authorized agents or officers.

CITY OF CONCORD:

(Typed or Printed Legal Name of Contractor)

By: _____
City Manager

By: _____
Signature of President/Vice President/Manager/Partner

ATTEST BY:

Printed Name: _____

Title: _____

City Clerk

ATTEST:

SEAL

BY: _____
Signature of Vice President, Secretary, or other officer

Printed Name: _____

Title _____

SEAL

APPROVED AS TO FORM:

Attorney for the City of Concord

APPROVAL BY CITY FINANCE OFFICER

This instrument has been pre-audited in the manner required by the Local Government Budget and Fiscal Control Act.

Signature