BID PACKAGE

For

Virginia St., SE Rehabilitation
Transportation Fund No. 8600-5811231

BID #2416

SET No. _____

TRANSPORTATION DEPARTMENT

P.O. Box 308 - 635 Alfred Brown Jr. Court, SW
Concord, North Carolina 28026-0308
INVITATION TO BID

City of Concord Transportation Department
Date: October 16, 2019

Attendance at a qualifying pre-Bid conference of the project on October 23rd, 2019 at 9:30 AM on site, near the intersection of Virginia St., SE and Sunset Dr., SE, Concord, NC, will be required for a Bid submittal.

Sealed bids will be received by the City of Concord at the Alfred M. Brown Operations Center, Ready Room, 635 Alfred Brown Jr. Court, SW, Concord, North Carolina 28026-0308 until 2:00 p.m., local time, Wednesday, October 30th, 2019 for the Virginia St., SE Rehabilitation Contract.

At said place and time, and promptly thereafter, all bids that have been duly received will be publicly opened and read aloud.

The Project Information is generally described as follows: The work under this contract includes, but is not limited to, the selected Contractor performing specified work for the rehabilitation of the roadway and replacement of the water main such as breaking up, removal, disposal of existing pavement and curb and gutter segments, excavation, layout and installation of stormwater infrastructure, layout and installation of water main infrastructure, proof rolling and establishment of roadbed, installation and tie-ins of concrete infrastructure, reconstruction and paving of new roadway, re-striping, re-marking, erosion control and other associated work along Virginia St., SE and adjoining properties as noted in the Virginia St. Rehabilitation construction plans, the Virginia St. Water Main Replacement plans and discussed in the pre-bid conference, including mobilizations, demobilizations, all labor including special subcontracting, permits, licenses, certifications, handwork, fabrications, tools, equipment, all materials, miscellaneous hardware, any supporting hardware and software, consumables, preparations, adhesives, other items and incidentals, excavations and grading, hauling, traffic control safety operations, site security, security of the work, infrastructure removals and resettings, incidental infrastructure relocations, removal of any debris, cleanup, and disposal(s), seeding and mulching, testings, cleanup, and disposal(s), touch-up repairs, special subcontracting, permits, licenses, and all else required as necessary in accordance with the Contract documents to the satisfaction of the Director and the City of Concord.

All bids must be in accordance with the Contract documents on file with the office of the City of Concord Transportation Department.

Copies of the Contract documents have also been provided to plan rooms of Associated General Contractors and F.W. Dodge Corporation in Charlotte, North Carolina.

Copies of the Contract documents may be obtained from the City of Concord at the address stipulated herein.

Bidders must be licensed Contractors in the State of North Carolina. All Subcontractors must also be licensed Contractors in the State of North Carolina. Contractors and Subcontractors shall possess a valid City of Concord Privilege License.
Bids will be received on a unit price basis.

A 5% Bid security must accompany each bid.

The successful Bidder will be required to furnish a 100 percent (%) Performance Bond (on form provided by the City of Concord) and a 100 percent (%) Payment Bond as security for the faithful performance and the payment of all bills and obligations arising from the performance of the work.

The local government of the City of Concord does not discriminate in administering any of its programs and activities. The Contractor awarded the contract for the work will be required to assure that no person shall be denied employment or fair treatment, or in any way discriminated against on the basis of race, sex, religion, age, national origin, or disability.

In accordance with 1 NC Administrative Code 30 I.0101, it is the policy of the City of Concord that Bidders undertake good faith efforts to recruit minority – disadvantaged business participation in the work. With regards to this, please reference the City of Concord DBE Program’s Policy Statement. Bidders will need to provide an affidavit of good faith efforts to comply with this policy as part of submitting a bid. However, no specific goals for DBE participation have been established for this contract. Firms proposed by Bidders as a participating minority and disadvantaged business with current certification by the NCDOT will be considered acceptable for listing in the Bidder’s submittal of MBE-DBE participation.

The Contractor and all Subcontractors will be required to conform to the labor standards set forth in the Contract Documents.

The North Carolina Department of Transportation Standard Specifications for Roads and Structures (Standard Specifications) Latest Edition and the North Carolina Department of Transportation Raleigh, “Highway Design Branch Roadway Standard Drawings” (Standard Drawings) Latest Edition shall be used on this project in conjunction with the work. The Contractor shall obtain and hold a copy of said Standard Specifications and Standard Drawings, latest Editions. Definition of terms shall be as stated in Section 101 except where those that specifically delineate and apply to the North Carolina Department of Transportation and its Divisions will be synonymous with and applied to the City of Concord and its comparative Departments and those also as stated in Exhibit “A” of the contract documents. The Contractor shall also obtain and hold a copy of the City of Concord Technical Standards Manual for use in applications as directed by the Director or his designated representative.

It is an absolute requirement of the City of Concord that the project work site and work force be drug free and that associated individuals, including subcontractors, working on the project be free of prior or pending felony convictions, the qualifications statement should include a commitment to this requirement and an indication of the plan of the firm to ensure compliance with this requirement.

The City of Concord reserves the right to reject any or all bids, including without limitation the rights to reject any or all nonconforming, nonresponsive, unbalanced, or conditional bids, and will award to lowest responsible Bidder taking into consideration quality, performance, and time specified in Bid Form for performance of the work. The City of Concord also reserves the right to waive informalities.

If the Contract is to be awarded, the City of Concord will give the successful Bidder a Notice of Award within the number of days set forth in the Bid Form.

BY: (signed) Lloyd Wm. Payne Jr.  
Lloyd Wm. Payne, Jr., ICMA-CM  
City Manager

Invitation to Bid – Virginia St., SE Rehabilitation
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STANDARD FORM CONSTRUCTION CONTRACT

This contract (together with all exhibits and valid amendments, the “Agreement” or the “Contract”) is made and entered into as of the Notice to Proceed Date specified on EXHIBIT “K”, by the City of CONCORD (“City”) and __________________________ (“Contractor”), ( ) a corporation, ( ) a limited partnership, ( ) a sole proprietorship, or ( ) a general partnership; organized and existing under the laws of the State of ________________.

Sec. 1. Background and Purpose. This contract consists of the selected Contractor to perform all necessary work to replace the water main and rehabilitate Virginia St., SE, including installation of new water main and associated laterals and devices, removal of existing concrete pavement, curb and gutter and sidewalk and rebuilding road base with asphalt while constructing new curb and gutter and sidewalk and performing other associated work as noted in the Virginia St. Water Main Replacement and Virginia St. Rehabilitation construction plans. All work and materials shall meet and be in accordance with the provisions of the Occupational Safety and Health Administration, the North Carolina Department of Labor, North Carolina Department of Transportation Standard Specifications for Roads and Structures, latest Edition, the North Carolina Department of Transportation Roadways Standards Drawings, latest Edition the Manual on Uniform Traffic Control Devices, latest Edition, the City of Concord Technical Standards Manual, latest Edition, The Water and Sewer Authority of Cabarrus County’s Standard Specifications, The City of Concord’s Ordinances, Policies, and Standard Specifications, NCDOT Standard Specifications, and The North Carolina Administrative Code for Wastewater Collection and Water Distribution Systems. In the event of conflict between the Water and Sewer Authority of Cabarrus County’s Standard Specifications, the City of Concord’s Ordinances, policies, and Standard Specifications, and NCDOT Standard Specifications, or the North Carolina Administrative Code, the more restrictive requirements shall apply. The Contractor shall keep himself fully informed of all Federal, state, and local laws, ordinances, and regulations.

Sec. 2. Services and Scope to be Performed. The Contractor shall provide specified work to perform required removal of existing concrete, and other materials and perform necessary grading, tie-in, and installation of new roadbed, asphalt, sidewalk and curbing, ADA ramps, storm structures and other necessary tasks as outlined in the plans along Virginia St. SE., as well as all work necessary to install the proposed water main and associated infrastructure as outlined in the Virginia St. Water Main Replacement plans, including mobilizations, demolitions, all labor including special subcontracting, permits, licenses, certifications, layouts, supplemental surveying and engineering, handwork, fabrications, tools, equipment, all materials, miscellaneous hardware, any supporting hardware and software, consumables, preparations, adhesives, other items and incidentals, excavations and grading, hauling and transporting, traffic control safety operations, site security, security of the work, utility infrastructure removals and resetting, other incidental relocations, removal, disposal, or re-use of any debris, cleanup, and disposal(s), testings, cleanup, maintenance, and touch-up repair(s), and all else required as necessary to the satisfaction of the Director and the City of Concord at the charges set forth either in this paragraph or in Exhibit “A”. Additional exhibits may be used to further define this Agreement when the Contractor and City so agree. Any additional exhibits shall be designated as exhibits to the Agreement with capitalized, sequential letters of the alphabet, shall be attached hereto and incorporated herein by reference as if the same were fully recited, and shall become terms of this Agreement upon execution by both parties.

In this Contract, “services” means the services that the Contractor is required to perform pursuant to this Contract and all of the Contractor’s duties to the City that arise out of this Contract. Any amendments, corrections, or change orders by either party must be made in writing signed in the same manner as the original. (This form may be used for amendments and change orders.) The City reserves the right to refuse payment for any work outside that authorized herein or pursuant to a duly approved amendment or change order.

Sec. 3. Complete Work without Extra Cost. Unless otherwise provided, the Contractor shall obtain and provide, without additional cost to the City, all labor, materials, equipment, transportation, facilities, services, permits, and licenses necessary to perform the Work.

Sec. 4. Compensation. The City shall pay the Contractor for the Work as described in this paragraph below OR as described in Exhibit “A” attached. In the event of a conflict, the provisions of this paragraph shall control. Any additional expenses or charges shall only be paid after both the City and the Contractor agree to and execute a written change order. The City shall not be obligated to pay the Contractor any fees, payments, expenses or compensation other than those authorized in this Contract or in a duly-approved change order. All payments shall be deemed inclusive of tax and other obligations.
Sec. 4a. **Retainage.** The City shall withhold no retainage on Contracts having a “total project cost” of less than $100,000.00. The City may withhold retainage on contracts having a total project cost between $100,000 and $299,999. The City shall withhold retainage on contracts whose total project cost exceeds $300,000. When withheld, retainage shall equal no more than five percent of each progress payment. When the project is fifty per cent complete, the City shall not retain anything from future project payments provided that (i) the surety concurs in writing, (ii) the Contractor continues to perform satisfactorily, (iii) any non-conforming work identified in writing by the architect, engineer(s) or City has been corrected by the Contractor and accepted by the architect, engineer(s) or City. However, if the City determines that the Contractor’s performance is unsatisfactory, the City may withhold up to five percent retainage from each project payment. The City may withhold additional amounts above five percent for unsatisfactory job progress, defective construction not remedied, disputed work, third party claims filed against the owner or reasonable evidence that a third-party claim will be filed.

Definitions:

“Total Project Cost”: Total value of the Contract and any approved change orders or amendments.

“Project is Fifty Percent Complete”: When the Contractor’s validly-issued gross project invoices (excluding the value of the materials stored off-site) equal or exceed fifty percent of the value of the Contract, except that the value of materials stored on-site shall not exceed twenty percent of the Contractor’s gross project invoices for the purpose of determining whether the project is fifty percent complete.

Sec. 5. **Term.** The Contractor shall commence work on the Notice to Proceed Date specified on EXHIBIT “K” “Commence Date” from the City. The date of the written Notice to Proceed on Exhibit “K” date shall be the “Commencement Date.” All work as set forth in the Scope of Services in Exhibit “A” shall be completed within TWO HUNDRED AND SEVENTY (270) calendar days of the Commencement Date. The date that is TWO HUNDRED AND SEVENTY (270) calendar days from the Commencement Date shall be the “Completion Date.” All work as set forth in the Scope of Services in Exhibit “A” shall be completed between the “Commencement Date” and the “Completion Date”. Any portion of the work that is specified and directed by the City to Contractor to be complete on a date before the “Completion Date” shall made in writing between the City and Contractor. Scheduling and coordination for such portion(s) of the work shall be in accordance with “Exhibit “G” Time is of the essence with regard to this Project. If Contractor’s obligations are not completed by the Completion Date, the City reserves the right to nullify this Agreement, order the Contractor to immediately cease all work under this Agreement and vacate the premises, and to seek professional services equivalent to those outlined in Exhibit “A.” The Contractor shall be held accountable for all damages incurred by the City as a consequence of the missed Completion Date or other specified and directed date for completion of a portion of the work as scheduled between the City and Contractor. The exercise of any of these rights by the City shall not be interpreted to prejudice any other rights the City may have under this Agreement or in law or equity. This Contract shall not be automatically extended unless agreed to in writing by the City or as provided in Exhibit “G”.

Sec. 6. **Contractor’s Billings to City.** Payments will be made in accordance with the schedule found in this section below OR attached at Exhibit “G”. Contractor shall submit an original pay request (invoice) to the City Purchasing Agent by the first of each month in order to expedite payment. Upon receipt of the request the City Purchasing Agent shall verify the amounts and if correct forward the request to the Accounts Receivable Division of the Finance Dept. Final payment on the Contract shall be made in 45 days, except in the case of retainage. Within 60 days after the submission of the final pay request, the City (with the written consent of the surety) shall release to the Contractor all retainage payments IF the City receives a certificate of substantial completion from the architect, engineer or designer-in-charge of the project OR the City receives beneficial occupancy and use of the project. In either case, the City may retain up to 2.5 times the estimated value of the work to be completed or corrected.

Sec. 7. **Insurance.** Contractor shall maintain and cause all sub-contractors to maintain insurance policies at all times with minimum limits as follows:

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<th>Minimum Limits</th>
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Workers’ Compensation $500,000 each accident, $500,000 bodily injury by disease each employee, $500,000 bodily injury by disease policy limit

General Liability $1,000,000 per occurrence regardless of the contract size

Automobile Liability $1,000,000 per occurrence regardless of the contract size

Umbrella ☒ $1,000,000 per occurrence if contract does not exceed 180 days; otherwise,
☐ $2,000,000 per occurrence

Contractor shall provide a Certificate of Insurance to the City listing the City as an additional insured. Such Certificate shall be in a form acceptable to the City.

Sec. 8. Documentation Requirements:

A. Contractor shall provide the City with a Certificate of Insurance for review prior to the issuance of any contract or Purchase Order. Certificates of insurance must be submitted on an Acord Form (revised 2010/05), and the City must be named as additional insured on all lines of coverage, except for Professional liability and Workers’ Compensation. Contractor shall provide a Certificate of Insurance to the City listing the City as additional insured as required by written contract. The General Liability, Automobile Liability and Workers Compensation policies include a Waiver of Subrogation in favor of the City of Concord. The Umbrella Policy shall follow the form of the General Liability and Automobile Liability Policies. All Certificates of Insurance will require written notice by the insurer or Contractor’s agent in the event of cancellation, reduction or other modifications of coverage by the insurer. Such notice shall be not less than 30 days for nonrenewal by the insurer, not less than 10 days for cancellation due to nonpayment of the premium and as soon as possible for all other types of modifications. In addition to the notice requirement above, Contractor shall provide the City with written notice of cancellation, reduction, or other modification of coverage of insurance whether instigated by the insurer or by the Contractor immediately upon Contractor’s receipt of knowledge of such modifications. Upon failure of the Contractor to provide such notice, Contractor assumes sole responsibility for all loses incurred by the City for which insurance would have provided coverage. The insurance certificate shall be for the insured period in which the initial contract period begins and shall be renewed by the Contractor for each subsequent renewal period of the insurance for so long as the contract remains in effect.

The City shall be named as an additional insured on all policies except Workers’ Compensation and professional liability and it is required that coverage be placed with “A” rated insurance companies acceptable to the City. Statement should read, “City of Concord is added as an additional insured as evidenced by an endorsement attached to this certificate.” Failure to maintain the required insurance in force may be cause for termination of this Agreement. In the event that the Contractor fails to maintain and keep in force the insurance herein required, the City has the right to cancel and terminate the Agreement without notice.

Without limiting the coverage required pursuant to this Agreement, Contractor shall provide Workers’ Compensation insurance if it employs three or more employees. The Worker’s Compensation insurance shall have the North Carolina mandated statutory limits. Contractor shall fully comply with all applicable laws including, but not limited to, North Carolina’s Workers’ Compensation Act (Chapter 97 of the NC General Statutes).

B. Contractor shall provide a completed W-9 form to the City prior to execution by the City of this Agreement.

Sec. 9. Performance of Work by Contractor.

(a) The Contractor warrants that all work performed under this Contract conforms to the Contract requirements and is free of any defect in equipment, material, or design furnished, or workmanship performed by the Contractor or any subcontractor or supplier at any tier. This warranty shall continue for a period of 1 year from the date of issuance by the City of written final completion of the work.
(b) The Contractor shall remedy at the Contractor's expense any failure to conform, or any defect. In addition, the Contractor shall remedy at the Contractor's expense any damage to City-owned or controlled real or personal property, when that damage is the result of--

(1) The Contractor's failure to conform to contract requirements; or

(2) Any defect of equipment, material, workmanship, or design furnished.

(c) The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's warranty with respect to work repaired or replaced will run for 1 year from the date of repair or replacement.

(d) The City shall notify the Contractor, in writing, within a reasonable time, not to exceed 30 days, after the discovery of any failure, defect, or damage.

(e) If the Contractor fails to remedy any failure, defect, or damage within a reasonable time, not to exceed 30 days unless otherwise agreed in writing and signed by the City Manager or his designee, after receipt of notice, the City shall have the right to replace repair, or otherwise remedy the failure, defect, or damage at the Contractor's expense.

(f) With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this Contract, the Contractor shall--

(1) Obtain all warranties that would be given in normal commercial practice,

(2) Require all warranties to be executed, in writing, for the benefit of the City, if directed to do so by the City; and

(3) Enforce all warranties for the benefit of the City, if directed to do so by the City.

(g) In the event the Contractor’s warranty has expired, the City may bring suit at its expense to enforce a subcontractor's, manufacturer's, or supplier's warranty.

(h) Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defects of material or design furnished by the City nor for the repair of any damage that results from any defect in City-furnished material or design.

Sec. 10. Performance of Work by City. If the Contractor fails to perform the Work in accordance with the schedule referred to in Exhibit “A”, the City may, in its discretion, perform or cause to be performed some or all of the Work, and doing so shall not waive any of the City’s rights and remedies. Before doing so, the City shall give the Contractor reasonable notice of its intention. The Contractor shall reimburse the City for all costs incurred by the City in exercising its right to perform or cause to be performed some or all of the Work pursuant to this section.

Sec. 11. Attachments. Additional exhibits may be used to further define this Agreement when the Contractor and City so agree. Any additional exhibits shall be designated as exhibits to the Agreement with capitalized, sequential letters of the alphabet, shall be attached hereto and incorporated herein by reference as if the same were fully recited, and shall become terms of this Agreement upon execution by both parties.

The following attachments are made a part of this Contract and incorporated herein by reference:

(a) Exhibit “A” – Scope of Services / Fee for Scope of Services.
(b) Exhibit “B” – Contractor must execute the Affidavit attached as Exhibit “B”, attesting to compliance with state and federal laws related to E-Verify.
(c) Exhibit “C” – Tax Form(s).
(d) Exhibit “D” - Certificate of Insurance.
(e) Exhibit “E” – Finance Forms.
(f) Exhibit “F” – Bond Forms (if required).
Exhibit “G” – General Conditions, Quote Form and Debarred Firms Certification Form.
Exhibit “J” – Notice of Award.
Exhibit “K” – Notice to Proceed.
Exhibit “L” – Virginia St. Water Main Replacement plans.
Exhibit “M” – Virginia St., SE Rehabilitation plans.

In case of conflict between an attachment and the text of this contract excluding the attachment, the text of this contract shall control. Any attachment that materially alters the standard terms contained herein must be reviewed by the City Attorney and approved by the City in writing.

Sec. 12. Notice. (a) All notices and other communications required or permitted by this Contract shall be in writing and shall be given either by personal delivery, fax, or certified United States mail, return receipt requested, addressed as follows:

To the City:                                   To the Contractor:
Phillip Graham, PE                             VaLerie Kolczynski, Esq.
Transportation Director                        City Attorney
P.O. Box 308                                  PO Box 308
Concord, NC 28026                             Concord, NC 28026
Fax Number: (704) 795-0404                    Fax Number: (704) 784-1791

(b) Change of Address, Date Notice Deemed Given: A change of address, fax number, or person to receive notice may be made by either party by notice given to the other party. Any notice or other communication under this Contract shall be deemed given at the time of actual delivery, if it is personally delivered or sent by fax. If the notice or other communication is sent by US Mail, it shall be deemed given upon the third calendar day following the day on which such notice or other communication is deposited with the US Postal Service or upon actual delivery, whichever first occurs.

Sec. 13. Indemnification. To the maximum extent allowed by law, the Contractor shall defend, indemnify, and save harmless the City of Concord, its agents, officers, and employees, from and against all charges that arise in any manner from, in connection with, or out of this Contract as a result of the acts or omissions of the Contractor or subcontractors or anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable except for damage or injury caused solely by the negligence of the City its agents, officers, or employees. In performing its duties under this section, the Contractor shall at its sole expense defend the City of Concord, its agents, officers, and employees with legal counsel reasonably acceptable to City. As used in this subsection – “Charges” means claims, judgments, costs, damages, losses, demands, liabilities, duties, obligations, fines, penalties, royalties, settlements, expenses, interest, reasonable attorney’s fees, and amounts for alleged violations of sedimentation pollution, erosion control, pollution, or other environmental laws, regulations, ordinances, rules, or orders. Nothing in this section shall affect any warranties in favor of the City that are otherwise provided in or arise out of this Contract. This section is in addition to and shall be construed separately from any other indemnification provisions that may be in this Contract. This section shall remain in force despite termination of this Contract (whether by expiration of the term or otherwise) and termination of the services of the Contractor under this Contract.

Sec. 14. Corporate Status. If the Contractor is dissolved or suspended and the Contractor does not notify the City of such dissolution within three (3) business days from date of dissolution or suspension, and/or the corporate status is not reinstated within thirty (30) days, this Contract, at the sole option of the City and without prejudice to City’s other remedies, shall be declared null and void or the Contractor shall execute a new contract showing the Contractor’s correct legal entity.

Sec. 15. Miscellaneous.
(a) Choice of Law and Forum. This Contract shall be deemed made in Cabarrus County, North Carolina. This Contract shall be governed by and construed in accordance with the laws of North Carolina. The exclusive forum and venue for all actions arising out of this Contract shall be the appropriate division of the North Carolina General Court of Justice, in Cabarrus County. Such actions shall neither be commenced in nor removed to federal court. This section shall not apply to subsequent actions to enforce a judgment entered in actions heard pursuant to this section.
(b) **Waiver.** No action or failure to act by the City shall constitute a waiver of any of its rights or remedies that arise out this Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed in writing.

(c) **Performance of Government Functions.** Nothing contained in this Contract shall be deemed or construed so as to in any way estop, limit, or impair the City from exercising or performing any regulatory, policing, legislative, governmental, or other powers or functions.

(d) **Severability.** If any provision of this Contract shall be unenforceable, the remainder of this Contract shall be enforceable to the extent permitted by law.

(e) **Assignment, Successors and Assigns.** Without the City’s written consent, the Contractor shall not assign (which includes to delegate) any of its rights (including the right to payment) or duties that arise out this Contract. Unless the City otherwise agrees in writing, the Contractor and all assigns shall be subject to all of the City’s defenses and shall be liable for all of the Contractor’s duties that arise out of this Contract and all of the City’s claims that arise out of this Contract. Without granting the Contractor the right to assign, it is agreed that the duties of the Contractor that arise out of this Contract shall be binding upon it and its heirs, personal representatives, successors, and assigns.

(f) **Compliance with Law.** In performing all of the Work, the Contractor shall comply with all applicable law. Without limitation, Contractor shall comply with the requirements of Article 2, Chapter 64 (Verification of Work Authorization) of the North Carolina General Statutes relating to E-Verify. Further, if Contractor utilizes a subcontractor, Contractor shall require the subcontractor to comply with the requirements of Article 2 of Chapter 64 of the General Statutes. Pursuant to the requirements of the Iran Divestment Act, N.C.G.S. § 143C-6A-1, et. seq., Contractor certifies that that as of the Effective Date of this Agreement, Contractor is not on the Final Divestment List as created by the State Treasurer in compliance with N.C.G.S. § 143-6A-4 and located at www.nctreasurer.com/Iran. Furthermore, Contractor agrees that it will not enter into any subcontracts for the performance of this Agreement with any entity on the Final Divestment List.

(g) **City Policy.** THE CITY OPPOSES DISCRIMINATION ON THE BASIS OF RACE AND SEX AND URGES ALL OF ITS CONTRACTORS TO PROVIDE A FAIR OPPORTUNITY FOR MINORITIES AND WOMEN TO PARTICIPATE IN THEIR WORK FORCE AND AS SUBCONTRACTORS AND VENDORS UNDER CITY CONTRACTS.

(h) **EEO Provisions.** During the performance of this Contract the Contractor agrees as follows:

(1) The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, political affiliation or belief, age, or disability. The Contractor shall take affirmative action to insure that applicants are employed and that employees are treated equally during employment, without regard to race, color, religion, sex, national origin, political affiliation or belief, age, or disability. The Contractor shall post in conspicuous places available to employees and applicants for employment, notices setting forth these EEO provisions.

(2) The Contractor in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, political affiliation or belief, age, or disability.

(i) **No Third Party Right Created.** This Contract is intended for the benefit of the City and the Contractor and not any other person.

(j) **Principles of Interpretation.** In this Contract, unless the context requires otherwise the singular includes the plural and the plural the singular. The pronouns “it” and “its” include the masculine and feminine. Reference to statutes or regulations include all statutory or regulatory provisions consolidating, amending, or replacing the statute or regulation. References to contracts and agreements shall be deemed to include all amendments to them. The word “person” includes natural persons, firms, companies associations, partnerships, trusts, corporations, governmental agencies and units, and any other legal entities.

(k) **Modifications, Entire Agreement.** A modification of this Contract is not valid unless signed by both parties and otherwise in accordance with requirements of law. Further, a modification is not enforceable against the City unless the City Manager or other duly authorized official signs it for the City. This Contract contains the entire agreement between the parties pertaining to the subject matter of this Contract. With respect to that subject matter, there are no promises, agreements, conditions, inducements, warranties, or understandings, written or oral, expressed or implied, between the parties, other than as set forth or referenced in this Contract.

(l) **Corporate Seal.** If a corporate seal is included by any party to this Contract, it is only for authentication purposes. This Contract is not signed under seal.

(m) **No Employment Relationship.** For all matters relating to this Agreement, Contractor shall be deemed an Independent Contractor. Nothing in this Agreement shall be construed in such a manner as to create an employee-employer relationship between City and Contractor.

(The following section applies to construction contracts only if amount is over $50,000)
Sec. 16. Bonding. Both performance and payment bonds for the full amount of this Contract are required to be attached. Instead of bonds, you may submit a deposit of money, certified check or government securities for the full amount of the Contract. The performance bond shall have a value equal to 100% of this Contract. This bond shall be conditioned upon faithful performance of the Contract in accordance with the plans, specifications and conditions of the Contract. The performance bond shall be solely for the protection of the City. The payment bond shall be in an amount equal to 100% of the Contract, and conditioned upon the prompt payment for all labor or materials for which a contractor or subcontractor is liable. The payment bond shall be solely for the protection of the persons furnishing materials or performance labor for which a contractor or subcontractor is liable.

Sec. 17. Dispute Resolution. It is understood and agreed that NCGS 143-128(f1-g) requires that disputes arising under an agreement for the erection, construction, alteration or repair of a building be subject to a dispute resolution process specified by the City. The amount in controversy shall be at least $15,000.00 before this dispute resolution procedure may be used. In compliance with this statutory provision, the City specifies this Section as the dispute resolution process to be used on this Project. It is further understood and agreed that this dispute resolution process is based on non-binding mediation and will only be effective to the extent that the Parties to any mediated dispute participate in the mediation in good faith. It is also understood and agreed that the City is under no obligation under any circumstance to secure or enforce the participation of any other Party in the mediation of any dispute subject to this Section and NCGS 143-128(f1-g).

This Section 17 does not apply to:

(a) The purchase and erection of prefabricated or relocatable buildings or portions of such buildings, except that portion of the work that must be performed at the construction site; or

(b) The erection, construction alteration or repair of a building when the cost of such building is $300,000 or less.

17.1 Any dispute arising between or among the Parties listed in Section 17.3 that arises from an agreement to construct the Project, including without limitation a breach of such agreement, shall be subject to non-binding mediation administered by the American Arbitration Association under its Construction Industry Mediation Rules (“Rules”), except as otherwise expressly set forth in this Section. To the extent any provision of the Rules is inconsistent with the provisions of this Section, the provisions of this Section shall control. The mediation provided in this Section shall be used pursuant to this Agreement and NCGS 143-128(f1-g) and is in lieu of any dispute resolution process adopted by the North Carolina State Building Commission, which process shall not apply to this Project.

17.2 For purposes of this Section the following definitions shall apply:

a. Agreement to construct the Project means an agreement to construct the Project that is subject to the requirements of NCGS 143-128 and does not include any agreement related to the Project that is not subject to said statute.

b. Construct or construction refers to and includes the erection, construction, alteration or repair of the Project.

c. Party or Parties refers to the parties listed in Section 16.4.

d. Project means the building to be erected, constructed, altered or repaired pursuant to this Agreement.

17.3 The City and any Party contracting with the City or with any first-tier or lower-tier subcontractor for the construction of the Project agree to participate in good faith in any mediation of a dispute subject to this Section and NCGS 143-128(f1-g), including without limitation the following Parties (if any): architect(s), engineer(s), surveyor(s), construction manager, construction manager at risk, prime contractor(s), surety(ies), subcontractor(s), and supplier(s).

17.4 In order to facilitate compliance with NCGS 143-128(f1-g), the Contractor and all other Parties shall include this Section 17 in every agreement to which it (any of them) is a Party for the construction of the Project.
without variation or exception. Failure to do so will constitute a breach of this Agreement, and the Contractor
or other Party failing to include this Section in any agreement required by this Section shall indemnify and
hold harmless the remaining Parties from and against any and all claims, including without limitation
reasonable attorney fees and other costs of litigation, arising in any manner from such breach. Notwithstanding
the foregoing provisions of this Section, it is expressly understood and agreed that the Parties are intended to
be and shall be third-party beneficiaries of the provisions of this Section and can enforce the provisions hereof.

17.5 The following disputes are not subject to mediation: (i) a dispute seeking a non-monetary recovery;
and (ii) a dispute seeking a monetary recovery of $15,000 or less.

17.6 A dispute seeking the extension of any time limit set forth in an agreement to construct the Project
shall be subject to mediation pursuant to this Section and NCGS 143-128(f1-g), but only if the damages which
would be suffered by the Party seeking the extension would exceed $15,000 if the disputed extension is denied.
To the extent that liquidated damages are set forth in such agreement as the measurement of damages for failure
by such Party to meet such time limit, such liquidated damages shall be the exclusive standard for determining
the amount of damages associated with such dispute.

17.7 For purposes of this Section, a dispute is limited to the recovery of monetary damages from the same
transaction or occurrence against a single Party or two or more Parties alleged to be liable jointly, severally or
in the alternative. Two or more disputes may not be consolidated or otherwise combined without the consent
of all Parties to such disputes.

17.8 In addition to such matters as are required by the Rules, a request for mediation shall include the
amount of the monetary relief requested.

17.9 Prior to requesting mediation, a Party must form a good faith belief that it is entitled under applicable
law to recover the monetary amount to be included in the request from one or more of the remaining Parties.
Such belief must be based on a reasonable and prudent investigation into the dispute that is the subject of the
request. The request for mediation must be based on such investigation and may not include any amount or
the name of any remaining Party, unless supported by such investigation and good faith belief by the Party
requesting the mediation.

17.10 If a Party breaches any provision of Section 17.9, it shall indemnify and hold harmless all other Parties
from any costs, including reasonable attorney fees and other costs of litigation, and damages incurred by such
other Parties that arise from such breach.

17.11 All expenses incurred by a Party to a dispute in preparing and presenting any claim or defense at the
mediation shall be paid by the Party. Such expenses include without limitation preparation and production of
witnesses and exhibits and attorney fees. All other expenses of the mediation, including filing fees and required
traveling and other expenses of the mediator, shall be borne as follows: one half by the Party requesting the
mediation, with the remaining parties paying equal shares of the remaining expenses and costs; provided that,
if the City is named as a party to the mediation, the City shall pay at least one-third of the mediation expenses
and costs divided among the Parties. If more than one Party to a dispute requests a mediation, the mediation
expenses and costs to be divided among the Parties shall be borne equally by the Parties to the dispute; provided
that, if the City is named as a Party to the mediation, the City shall pay at least one-third of the mediation
expenses and costs divided among the Parties.

17.12 The mediation shall be held at a location agreeable to the mediator and all of the Parties; provided
that, if no agreement can be reached, the mediation will be held at such location in Cabarrus County as the
mediator shall determine.

17.13 The provisions of this Section are subject to any other provision of this Agreement concerning the
submission, documentation and/or proof of any claim or dispute. Such other provisions shall apply in full force
and shall be satisfied as a condition precedent to mediation pursuant to this Section.
17.14 The Parties understand and agree that mediation in accordance with this Section shall be a condition precedent to institution of any legal or equitable proceeding seeking monetary recovery based on any dispute that is subject to mediation pursuant to this Section.

Sec. 18. Breach. In the event of a violation of any material term of this Agreement, the non-violating party may terminate the Agreement upon written notice. Such notice shall state the violation with specificity and shall give ten (10) days to cure the violation. The cure period shall be measured as ten (10) days from the date of receipt of notice by the violating party, or, if the date is not known, then thirteen (13) days from the date the notice is placed in the United States Post. If the violation remains uncorrected at the end of the cure period, the Agreement shall be terminated without any further action by the non-violating party. Any remaining disputes shall be subject to the dispute resolution procedure set forth above, if applicable.

[Signature Page to Follow]
IN WITNESS WHEREOF, the City of Concord and the Contractor have caused this Contract to be executed by their respective duly authorized agents or officers.

CITY OF CONCORD:  (Typed or Printed Legal Name of Contractor)

By: ____________________________  By: ____________________________
    City Manager              Signature of President/Vice President/Manager/Partner

Date: ____________________________
Printed Name: ____________________________
Title: ____________________________

ATTEST BY:

Date: ____________________________
City Clerk

ATTEST:

Date: ____________________________
BY: ____________________________
    Signature of Vice President, Secretary, or other officer

Printed Name: ____________________________
Title: ____________________________

APPROVED AS TO FORM:

_______________________________
Attorney for the City of Concord

SEAL

APPROVAL BY CITY FINANCE OFFICER

This instrument has been pre-audited in the manner required by the Local Government Budget and Fiscal Control Act.

_________________________________________
Signature
EXHIBIT “A”

This document is an Exhibit to the Agreement for Virginia St., SE Rehabilitation between the CITY OF CONCORD and ________________________________ dated Notice to Proceed Date specified on EXHIBIT “K.”

Scope of Services:
The Contractor shall provide specified work to perform required removal of existing concrete, and other materials and perform necessary grading, tie-in, and installation of new roadbed, asphalt, sidewalk and curbing, ADA ramps, storm structures and other necessary tasks as outlined in the plans along Virginia St. SE., as well as all work necessary to install the proposed water main and associated infrastructure as outlined in the Virginia St. Water Main Replacement plans, including mobilizations, demobilizations, all labor including special subcontracting, permits, licenses, certifications, layouts, supplemental surveying and engineering, handwork, fabrications, tools, equipment, all materials, miscellaneous hardware, any supporting hardware and software, consumables, preparations, adhesives, other items and incidentals, excavations and grading, hauling and transporting, traffic control safety operations, site security, security of the work, utility infrastructure removals and resetting, other incidental relocations, removal, disposal, or re-use of any debris, cleanup, and disposal(s), testings, cleanup, maintenance, and touch-up repair(s), and all else required as necessary to the satisfaction of the Director and the City of Concord.


Fee for Scope of Services:
The fee for services shall be based on unit cost format, whereby the quantities of items satisfactorily installed, verified, and accepted by the Director or his designated representative(s) shall be the actual quantities that are used in determining the basis of payment. Payment at the unit prices for the various items listed on the Bid Form will be full compensation for all the items covered by the work. In no case will the total amount paid to the Contractor exceed the not to exceed amount indicated above. Invoices shall be directed to: City of Concord Attention: Phillip Graham, PE, Transportation Director – 635 Alfred Brown Jr. Court, SW., Post Office Box 308, Concord, NC 28026-0308. Should changes or extra services be needed, which will cause a cost overrun: ________________________________ will consult with the City for adjustments prior to conducting the work.

The approved budget for the total scope of services is roughly $2,000,000 and is based on the following estimates: Historical cost data, engineering judgment and the approved FY 19 Budget Ordinance by the City of Concord City Council for such work.
STATE OF NORTH CAROLINA                        AFFIDAVIT
COUNTY OF CABARRUS

I, _________________________________(the individual signing below), being duly authorized by and on behalf of
________________________________________ (the legal name of the entity entering the contract, "Employer")
after first being duly sworn hereby swears or affirm as follows:

1. Employer understands that E-Verify is the federal E-Verify program operated by the United States
   Department of Homeland Security and other federal agencies, or any successor or equivalent program used to verify
   the work authorization of newly hired employees pursuant to federal law in accordance with NCGS §64-26.
2. Employer understands that Employers Must Use E-Verify. Each employer (as such term is defined in
   NCGS § 64-25), after hiring an employee (as such term is defined in NCGS § 64-25) to work in the United States,
   shall verify the work authorization of the employee through E-Verify in accordance with NCGS§64-26(a).

Employer attests that Employer is in compliance with the requirements of the federal and state laws relevant to E-
verify.

3. Employer is a person, business entity, or other organization that transacts business in the State of North
   Carolina. Employer employs 25 or more employees in this State. (mark Yes or No)
   a. YES _____, or       b. NO _____.

4. Employer attests that all subcontractors employed by it as part of this contract comply with the
   requirements of E-Verify, and Employer will ensure compliance with E-Verify by any subcontractors subsequently
   hired by Employer as part of any contract with the City of Concord.

5. Employer shall have a continuing duty to inform the City of Concord of any changes to this sworn
   information.

This ____ day of _______________, 20___.

______________________________
Signature of Affiant
Print or Type Name: ______________________________

State of North Carolina  County of Cabarrus
Signed and sworn to (or affirmed) before me, this the _____
   day of _______________, 20___.

My Commission Expires:
_______________________________________
     __________     Notary Public

(Affix Official/Notarial Seal)
EXHIBIT “C”

TAX FORM(S)

(To be submitted by awarded contractor)

TRANSPORTATION DEPARTMENT

P.O. Box 308 – 635 Alfred Brown Jr. Court, SW
Concord, North Carolina 28026-0308
704-920-5338
Form W-9
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Name (as shown on your income tax return)

Check appropriate box for federal tax classification:
- Individual/sole proprietor
- C Corporation
- S Corporation
- Partnership
- Trust/estate
- Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership)

Exemptions (see instructions):
- Exempt payee code (if any)
- Exemption from FATCA reporting code (if any)

Purpose of Form
A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, mortgage interest you paid, acquisition or abandonment of secured property, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:
- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee.

Use Form W-9 if you are:
- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A trust, or
- A domestic trust (as defined in Regulations section 301.7701-7).

Future developments. The IRS has created a page on IRS.gov for information about Form W-9, at www.irs.gov/w9. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Part I Taxpayer Identification Number (TIN)
Enter your TIN in the appropriate box. The TIN provided must match the name given on the “Name” line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see How to get a TIN on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Part II Certification
Under penalties of perjury, I certify that:
1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below), and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting, is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here

Signature of U.S. person

Date

General Instructions
Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. The IRS has created a page on IRS.gov for information about Form W-9, at www.irs.gov/w9. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Purpose of Form
A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:
- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee.

If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:
- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate or trust, or
- A domestic trust as defined in Regulations section 301.7701-7.

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.
In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States:

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity.
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust, and
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a “saving clause.” Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.–China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.–China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS a percentage of such payments. This is called “backup withholding.” Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and bartering transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester.
2. You do not certify your TIN when required (see the Part II instructions on page 3 for details).
3. The IRS tells the requester that you furnished an incorrect TIN.
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See Exempt payee code on page 3 and the separate Instructions for the Requester of Form W-9 for more information.

Also see Special rules for partnerships on page 1.

What is FATCA reporting? The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See Exemption from FATCA reporting code on page 3 and the Instructions for the Requester of Form W-9 for more information.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of $50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a $50 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Name

If you are an individual, you must generally enter the name shown on your income tax return. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

Sole proprietor. Enter your individual name as shown on your income tax return on the “Name” line. You may enter your business, trade, or “doing business as [DBA]” name on the “Business name/disregarded entity name” line.

Partnership, C Corporation, or S Corporation. Enter the entity’s name on the “Name” line and any business, trade, or “doing business as [DBA]” name on the “Business name/disregarded entity name” line.

Disregarded entity. For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a “disregarded entity.” See Regulation section 301.7701-2(c)(2)(ii). Enter the owner’s name on the “Name” line. The name of the entity entered on the “Name” line should never be a disregarded entity. The name on the “Name” line must be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner’s name is required to be provided on the “Name” line. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity’s name on the “Business name/disregarded entity name” line. If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

Note. Check the appropriate box for the U.S. federal tax classification of the person whose name is entered on the “Name” line (Individual/sole proprietor, Partnership, C Corporation, S Corporation, Trust/estate).

Limited Liability Company (LLC). If the person identified on the “Name” line is an LLC, check the “Limited liability company” box only and enter the appropriate code for the U.S. federal tax classification in the space provided. If you are an LLC that is treated as a partnership for U.S. federal tax purposes, enter “P” for partnership. If you are an LLC that has filed a Form 8832 or a Form 2553 to be taxed as a corporation, enter “C” for C corporation or “S” for S corporation, as appropriate. If you are an LLC that is disregarded as an entity separate from its owner under Regulation section 301.7701-3 (except for employment and excise tax), do not check the LLC box unless the owner of the LLC (required to be identified on the “Name” line) is another LLC that is not disregarded for U.S. federal tax purposes. If the LLC is disregarded as an entity separate from its owner, enter the appropriate tax classification of the owner identified on the “Name” line.

Other entities. Enter your business name as shown on required U.S. federal tax documents on the “Name” line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the “Business name/disregarded entity name” line.

Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the Exemptions box, any code(s) that may apply to you. See Exempt payee code and Exemption from FATCA reporting code on page 3.
Exempt payee code. Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends. Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.

Note. If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

The following codes identify payees that are exempt from backup withholding:

1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 421(b)(7) if the account satisfies the requirements of section 401(f)(2)
2—The United States or any of its agencies or instrumentalities
3—A state, the District of Columbia, a possession of the United States, or any of its political subdivisions or instrumentalities
4—A foreign government or any of its political subdivisions, agencies, or instrumentalities
5—A corporation
6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States
7—A futures commission merchant registered with the Commodity Futures Trading Commission
8—A real estate investment trust
9—An entity registered at all times during the tax year under the Investment Company Act of 1940
10—A common trust fund operated under section 584(a)
11—A financial institution
12—A middleman known in the investment community as a nominee or custodian
13—A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

<table>
<thead>
<tr>
<th>IF the payment is for . . .</th>
<th>THEN the payment is exempt for . . .</th>
</tr>
</thead>
<tbody>
<tr>
<td>Interest and dividend payments</td>
<td>All exempt payees except for 7</td>
</tr>
<tr>
<td>Broker transactions</td>
<td>Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.</td>
</tr>
<tr>
<td>Barter exchange transactions and patronage dividends</td>
<td>Exempt payees 1 through 4</td>
</tr>
<tr>
<td>Payments over $600 required to be reported and direct sales over $5,000¹</td>
<td>Generally, exempt payees 1 through 5²</td>
</tr>
<tr>
<td>Payments made in settlement of payment card or third party network transactions</td>
<td>Exempt payees 1 through 4</td>
</tr>
</tbody>
</table>

¹ See Form 1099-MISC, Miscellaneous Income, and its instructions.
² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney, and payments for services paid by a federal executive agency.

Exemption from FATCA reporting code. The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
B—The United States or any of its agencies or instrumentalities
C—A state, the District of Columbia, a possession of the United States, or any of its political subdivisions or instrumentalities
D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
E—A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G—A real estate investment trust
H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I—A common trust fund as defined in section 584(a)
J—A bank as defined in section 581
K—A broker
L—A trust exempt from tax under section 664 or described in section 4947(a)(1)
M—A tax exempt trust under a section 403(b) plan or section 457(g) plan

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see How to get a TIN below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see Limited Liability Company (LLC) on page 2), enter the owner’s SSN (or EIN, if the owner has one). Do not enter the disregarded entity’s EIN. If the LLC is classified as a corporation or partnership, enter the entity’s EIN.

Note. See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at www.ssa.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/businesses and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting IRS.gov or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write “Applied For” in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note. Entering “Applied For” means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 4, or 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on the “Name” line must sign. Exempt payees, see Exempt payee code earlier.

Signature requirements. Complete the certification as indicated in items 1 through 3 below.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered inactive during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have previously given an incorrect TIN. “Other payments” include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.
What Name and Number To Give the Requester

For this type of account: Give name and SSN of:

1. Individual
   The individual
   The actual owner of the account or, if combined funds, the first individual on the account 1
   The minor 2
   The grantor-trustee 3
   The actual ownerer 4
   The owner 5
   The grantor 6

2. Two or more individuals (joint account)
   The individual
   The actual owner of the account or, if combined funds, the first individual on the account 1
   The minor 2
   The grantor-trustee 3
   The actual ownerer 4
   The owner 5
   The grantor 6

3. Custodian account of a minor (Uniform Gift to Minors Act)
   List first and circle the custodian’s name and furnish the custodian’s SSN. If only one person on a joint account has an SSN, that person’s number must be furnished.
   The minor 2
   The grantor-trustee 3
   The actual owner 4
   The owner 5
   The grantor 6

4. a. The usual revocable savings trust (grantor is also trustee)
b. So-called trust account that is not a legal or valid trust under state law
   The owner
   Legal entity 7
   The corporation
   The organization
   The partnership
   The broker or nominee
   The public entity
   The trust

5. Sole proprietorship or disregarded entity owned by an individual
   The owner
   Legal entity 7
   The corporation
   The organization
   The partnership
   The broker or nominee
   The public entity
   The trust

6. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulation section 1.671-4(b)(2)(i)(A))
   The owner
   Legal entity 7
   The corporation
   The organization
   The partnership
   The broker or nominee
   The public entity
   The trust

7. Disregarded entity not owned by an individual
   The owner
   Legal entity 7
   The corporation
   The organization
   The partnership
   The broker or nominee
   The public entity
   The trust

8. Corporation or LLC electing corporate status on Form 8832 or Form 2553
   The corporation
   The organization
   The partnership
   The broker or nominee
   The public entity
   The trust

9. Association, club, religious, charitable, educational, or other tax-exempt organization
   The organization
   The partnership
   The broker or nominee
   The public entity
   The trust

10. Partnership or multi-member LLC
    The organization
    The partnership
    The broker or nominee
    The public entity
    The trust

11. A broker or registered nominee
    The owner
    Legal entity 7
    The corporation
    The organization
    The partnership
    The broker or nominee
    The public entity
    The trust

12. A broker or registered nominee
    The owner
    Legal entity 7
    The corporation
    The organization
    The partnership
    The broker or nominee
    The public entity
    The trust

13. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments
    The owner
    Legal entity 7
    The corporation
    The organization
    The partnership
    The broker or nominee
    The public entity
    The trust

14. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulation section 1.671-4(b)(2)(i)(B))
    The owner
    Legal entity 7
    The corporation
    The organization
    The partnership
    The broker or nominee
    The public entity
    The trust

Note. If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records from Identity Theft

Identity theft occurs when someone uses your personal information such as your name, social security number (SSN), or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:
- Protect your SSN.
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity, or credit report, contact the IRS Identity Theft Hotline at 1-800-909-4490 or submit Form 14039.

For more information, see Publication 4535, Identity Theft Prevention and Victim Assistance.

Victims of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes. Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: spam@uce.gov or contact them at www.ftc.gov/idtheft or 1-877-IDTHEFT (1-877-438-4338).

Visit IRS.gov to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.
EXHIBIT “D”

CERTIFICATE OF INSURANCE

TRANSPORTATION DEPARTMENT

P.O. Box 308 – 635 Alfred Brown Jr. Court, SW
Concord, North Carolina 28026-0308
704-920-5338
CERTIFICATE OF LIABILITY INSURANCE

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFER NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. IF SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER
ABC Insurance Services, Inc.
801 Your Place Drive, Suite 1
When, Where 000000

INSURED
SAMPLE COI CONSTRUCTION CONTRACT > $2000 w/ Professional Liability
PO Box 30
XXX NC 20000

COVERAGE

<table>
<thead>
<tr>
<th>NUMBER</th>
<th>TYPE OF INSURANCE</th>
<th>ADDED/DED SUB</th>
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<tbody>
<tr>
<td>A X</td>
<td>COMMERCIAL GENERAL LIABILITY</td>
<td>✓ CLAIMS-MADE ✓ OCCUR</td>
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<tr>
<td>A X</td>
<td>AUTOMOBILE LIABILITY</td>
<td>✓ ANY AUTO OWNED AUTOS ONLY</td>
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<tr>
<td>A X</td>
<td>UMBRELLA LIABILITY</td>
<td>✓ EXCESS LIABILITY CLAIMS-MADE</td>
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| B Y/N  | WORKERS COMPENSATION AND EMPLOYERS’ LIABILITY | ✓ ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER/EXCLUDED?

CERTIFICATE NUMBER: 130955

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

<table>
<thead>
<tr>
<th>TYPE OF INSURANCE</th>
<th>INSURER A</th>
<th>INSURER B</th>
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<tbody>
<tr>
<td>A COMMERCIAL GENERAL LIABILITY</td>
<td>Red Oak Fire Insurance Co. National 25615</td>
<td>Casualty Corporation 15105</td>
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COVERAGES

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<tr>
<th>TYPE OF INSURANCE</th>
<th>LIMITS</th>
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<tr>
<td>A COMMERCIAL GENERAL LIABILITY</td>
<td>EACH OCCURRENCE $1,000,000</td>
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<td>A AUTOMOBILE LIABILITY</td>
<td>MED EXP (Any one person) $50,000</td>
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<td>A UMBRELLA LIABILITY</td>
<td>PERSONAL &amp; ADV INJURY $1,000,000</td>
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<tr>
<td>A EXCESS LIABILITY</td>
<td>GENERAL Aggregate $2,000,000</td>
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<tr>
<td>B WORKERS COMPENSATION AND EMPLOYERS’ LIABILITY</td>
<td>PRODUCTS - COMPOP AGG $1,000,000</td>
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</table>

The City of Concord is named additional insured a required by written contract. Waiver of subrogation is granted in favor of the City of Concord on GL and Workers Compensation policies.

EXAMPLE

CERTIFICATE HOLDER
City of Concord
Attention: Risk Management
PO Box 308
Concord, NC 28026-0308

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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ACORD 25 (2016/03)
EXHIBIT “E”

FINANCE FORMS

(To be submitted by awarded contractor)

TRANSPORTATION DEPARTMENT

P.O. Box 308 – 635 Alfred Brown Jr. Court, SW
Concord, North Carolina 28026-0308
704-920-5338
LEGAL NAME OF COMPANY/CORPORATION: _________________________________________________

DBA/DOING BUSINESS AS (IF DIFFERENT FROM LEGAL NAME): _______________________________________

ARE YOU A NORTH CAROLINA CORPORATION? YES____ NO____ IF NOT, ARE YOU REGISTERED TO DO BUSINESS IN NORTH CAROLINA? YES____ NO____

CITY OF CONCORD BUSINESS PRIVILEGE LICENSE NUMBER: ____________________________ NOTE: FOR INFORMATION REGARDING PRIVILEGE LICENSE OR PRIVILEGE LICENSE FORM, CONTACT CITY OF CONCORD TAX OFFICE: 704-920-5216

NOTE: ALL OF THE ABOVE WILL BE REQUIRED FIELDS IN ORDER TO ESTABLISH A VENDOR NUMBER AND TO RECEIVE PAYMENT FOR ANY GOODS OR SERVICES

FEDERAL TAX ID#_________________SOCIAL SECURITY # IF INDIVIDUAL________  _________  _______

FOR OUT OF STATE VENDORS, PROVIDE N.C.SALES TAX NUMBER________________________

QUOTATION ADDRESS:____________________________________________________ COUNTY__________

MAILING ADDRESS (PURCHASE ORDERS)___________________________________________________________

REMITTANCE ADDRESS_______________________________________________________________

INVOICE PAYMENT TERMS_________________DISCOUNT, IF YES, EXPLAIN________________________

MANAGER:________________________________PHONE:_________________________ FAX:____________

SALES REPRESENTATIVE:_____________________PHONE_____________________ FAX:_____________________

CONTACT PERSON:___________________________PHONE:_____________________ FAX:_____________________

ACCOUNTS RECEIVABLE CONTACT:_____________________PHONE:___________________ FAX:_____________

TYPE OF DISTRIBUTOR________________________PRODUCTS/SERVICES OFFERED: __________________________________________

E-VERIFY EMPLOYER: APPLICABLE ______ OR EXEMPT _____ (LESS THAN 25 EMPLOYEES)
ALL VENDORS MUST SUBMIT AFFIDAVIT AND RETURN WITH THIS FORM.

For more information: visit the City’s web site at http://www.concordnc.gov/departments/finance/purchasing

FOR CITY USE BELOW:

PERSON SUBMITTING FORM: ______________________________ DATE:________________________

RECEIVED IN PURCHASING BY: ______________________________ DATE:________________________

FOR VENDOR INFORMATION CHANGE ONLY, OR NOTES, PROVIDE BELOW:

Revised 9-2013
<table>
<thead>
<tr>
<th>DATE</th>
<th>VENDOR NAME</th>
<th>INVOICE NO.</th>
<th>NET INVOICE AMOUNT</th>
<th>STATE TAX AMOUNT</th>
<th>COUNTY TAX AMOUNT</th>
<th>SPECIAL COUNTY TAX</th>
<th>COUNTY PAID</th>
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TOTAL: $  $  $  $  $  

I certify that the above listed vendors were paid sales tax upon purchases of materials during the period covered by the Construction Estimate, and the property upon which such taxes were paid with or will be used in the performance of this contract. No tax on purchases or rentals of tools and/or equipment is included in the above list. All of the materials above became part of or is annexed to the building or structure being erected, altered or repaired.

 Contractor or Subcontractor Name (Print)  

 Signature: ____________________________  

 Name (Print): ____________________________  

 Title: ____________________________  

 SWORN AND SUBSCRIBED BEFORE ME  

 THIS _____ DAY OF ________________, ______.  

 NOTARY PUBLIC  

 MY COMMISSION EXPIRES: ____________________________
PROJECT:       DESCRIPTION: VIRGINIA ST., SE REHABILITATION

Date Notice to Proceed:       Completion Date:       Days Remaining in Contract:       Percent Work Complete:       Percent Time Complete:       Percent Payment Complete:       

APPLICATION FOR PAYMENT NO.       SHEET NO.       OF

PERIOD FROM:       TO:       

CERTIFICATE OF THE CONTRACTOR

To the best of my knowledge and belief, I certify that this periodical estimate is correct and all work has been performed and materials supplied in full accordance with the terms and conditions of the contract documents between the undersigned contractor and the City of Concord.

GROSS AMOUNT OF PARTIAL PAYMENT

LESS: RETAINAGE AT 5 PERCENT

PREVIOUS PAYMENT

LIQUIDATION DAMAGES

OTHER DEDUCTIONS:

TOTAL DEDUCTIONS

NET AMOUNT DUE THIS ESTIMATE

Name of Contractor:       Address:       

Signed:       Title:       Date:       

CERTIFICATE OF CONSTRUCTION ADMINISTRATOR/ENGINEER

I certify that I have verified this periodical estimate and that to the best of my knowledge and belief, it is a true and correct statement of work performed and materials supplied under the contract.

Consultant Engineer:       Date:       

Construction Administrator:       Date:       

APPROVED AND PAYMENT RECOMMENDED:

CITY OF CONCORD

Signed:       Title:       Date:       

FIN/AP/31
Revised 03/14/07
EXHIBIT “F”

BOND FORMS

(To be submitted by awarded contractor)

TRANSPORTATION DEPARTMENT

P.O. Box 308 – 635 Alfred Brown Jr. Court, SW
Concord, North Carolina 28026-0308
704-920-5338
PERFORMANCE BOND

Date of Execution of this Bond

Name and Address of Principal (Contractor)

Name and Address of Surety

Name and Address of Contracting Body

City of Concord
P.O. Box 308
Concord, North Carolina 28025

Amount of Bond

Contract

That certain contract by and between the Principal and the Contracting Body above named dated Notice to Proceed Date specified on EXHIBIT “K” For: Virginia St., SE Rehabilitation – 2017-1057

KNOW ALL MEN BY THESE PRESENTS, that we, the PRINCIPAL and SURETY above named, are held and firmly bound unto the above-named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the Principal entered into a certain contract with the Contracting Body, identified as shown above and hereto attached;

NOW THEREFORE, if the Principal shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term of said contract and any extensions thereof that may be granted by the Contracting Body, with or without notice to the Surety, and during the life of any guaranty required under the contract, and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of any and all duly authorized modifications of the contract that may hereafter be made, notice of which modifications to the Surety being hereby waived, then, this obligation to be void; otherwise, to remain in full force and virtue.
PERFORMANCE BOND: (Continued)

THIS PERFORMANCE BOND is made and given pursuant to the requirements and provisions of Section 129 of Chapter 143 of the General Statutes of North Carolina and pursuant to Article 3 of Chapter 44-A of the General Statutes of North Carolina, and each and every provision set forth and contained in Section 129 of Chapter 143 and in Article 3 of Chapter 44-A of the General Statutes of North Carolina is incorporated herein, made a part hereof, and deemed to be conclusively written into this Bond.

IN WITNESS WHEREOF, the above-bounden parties have executed this instrument under their several seals as of the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned and representative, pursuant to authority of its governing body.

WITNESS:

Principal (Name of individual and trade name, partnership, corporation, or joint venture)

BY _______________________________ (SEAL)

TITLE ________________________________

(Owner, Partner, Office held in corporation, joint venture)

Principal (Proprietorship or Partnership)

BY _______________________________

TITLE ________________________________

(Corporate Seal of Principal)

Corporation

BY _______________________________

TITLE ________________________________

(Corporation Secretary or Assistant Secretary Only)

Surety (Name of Surety Company)

BY _______________________________

TITLE ________________________________

(Corporate Seal of Surety)

WITNESS:

ATTEST: (Corporation)

BY _______________________________

TITLE ________________________________

(Corporate Seal of Surety)

COUNTERSIGNED:

______________________________

(Address of Attorney in Fact)

N.C. Licensed Resident Agent
The City of Concord is requesting Bids for all necessary work to replace the water main and rehabilitate Virginia St., SE, including installation of new water main and associated laterals and devices, removal of existing concrete pavement, curb and gutter and sidewalk and rebuilding road base with asphalt while constructing new curb and gutter and sidewalk and performing other associated work as noted in the Virginia St. Water Main Replacement and Virginia St. Rehabilitation construction plans as further described below. If you wish to submit a Bid on this work, please fill out the Bid Form sheet that is enclosed herein and return at the address listed below.

1) Definitions:
   
   **City** – City of Concord, North Carolina
   
   **Change Order** - A document recommended by the Director which is signed by Contractor and City and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, issued on or after the Effective Date of the Agreement.
   
   **Contract** – the executed agreement between the City of Concord and the successful bidder, covering the performance of the work and the compensation therefore. The term contract is all inclusive with reference to all written agreements affecting a contractual relationship and all documents referred to therein, and shall specifically include, but not be limited to, the Bid Form, the printed Contract Form and all Attachments thereto, the Contract Bonds, Insurance Forms, Exhibits, the Plans, the Standard Specifications and all supplemental specifications thereto, the general conditions, and all executed supplemental agreements, all of which constitute one instrument.
   
   **Contract Price** - The moneys payable by City to Contractor for completion of the Work in accordance with the Contract Documents as stated in the Agreement and subject to the applicable provisions of these General Conditions in the case of Unit Price Work and authorized Change Orders).
   
   **Contractor** – the Contractor named in the contract documents.
   
   **Director** – City of Concord Transportation Director or the Director’s representative.
   
   **Field Order** - A written order issued by the Director which requires minor changes in the Work but which does not involve a change in the Contract Price or the Contract Times.
   
   **Engineer** - City of Concord Transportation Director
   
   **Owner** – City of Concord, North Carolina.
   
   **Proposal requirements** – the legal and procedural documents, any general and special provisions, together with modifications thereof, and Standard Specifications requirements, with all addenda thereto.
Quoter - An individual, partnership, firm, corporation, or joint venture submitting a quote for the work contemplated

Special Conditions - That part of the Contract Documents which amends or supplements these General Conditions.


Subcontractor – any person, firm, partnership, corporation, with a direct contract with the Contractor who acts for or in behalf of the Contractor in executing any part of the contract, but does not include one who merely furnishes materials.

Transportation Department – City of Concord Transportation Department.

Underground Facility, Utility, Services - All underground pipeline, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including those that convey electricity, gases, steam, liquid petroleum products, telephone or other communications, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic of other control systems.

Work – the entire completed service or the various, separately identifiable parts, labor, material or requirement thereof needed to perform such under the contract documents to the satisfaction of the City.

2) Project Information: The work under the Virginia St., SE. Rehabilitation contract consists of required work to replace the water main and rehabilitate Virginia St., SE, including installation of new water main and associated laterals and devices, removal of existing concrete pavement, curb and gutter and sidewalk and rebuilding road base with asphalt while constructing new curb and gutter and sidewalk and performing other associated work as noted in the Virginia St. Water Main Replacement and Virginia St. Rehabilitation construction plans. All work and materials shall meet and be in accordance with the provisions of the Occupational Safety and Health Administration, the North Carolina Department of Labor, North Carolina Department of Transportation Standard Specifications for Roads and Structures, latest Edition, the North Carolina Department of Transportation Roadways Standards Drawings, latest Edition the Manual on Uniform Traffic Control Devices, latest Edition, the City of Concord Technical Standards Manual, latest Edition, The Water and Sewer Authority of Cabarrus County’s Standard Specifications, The City of Concord’s Ordinances, Policies, and Standard Specifications, NCDOT Standard Specifications, and The North Carolina Administrative Code for Wastewater Collection and Water Distribution Systems. In the event of conflict between the Water and Sewer Authority of Cabarrus County’s Standard Specifications, the City of Concord’s Ordinances, policies, and Standard Specifications, and NCDOT Standard Specifications, or the North Carolina Administrative Code, the more restrictive requirements shall apply. All work should be completed in accordance with the Contract documents to the satisfaction of the Director and the City of Concord.

The Contractor is also hereby referred to certain items of the Special Conditions for further information with regards to this work.

Any defective work whether the result of poor workmanship, use of defective materials, damage through carelessness or any other cause shall be removed and the work re-executed at no cost to the City.

3) Specified Times of the Contract, Working Hours, Schedules, Reports, and Supervision:
The Contractor shall pursue the work diligently with workmen in sufficient numbers, abilities, and supervision; and with equipment, materials and methods of construction as may be required to satisfactorily complete the work described in this contract as provided by the Director by the Completion Date of TWO HUNDRED AND SEVENTY (270) DAYS from the Notice to Proceed Date to maintain working hours from 9:00 AM to 4:00 PM with a ½ hour unpaid lunch allowance unless otherwise modified by the Director. All work shall be accomplished in a continuous manner once the contractor begins. Normal, daily mobilizations in the course of the work shall be considered incidental to the contract unit prices of all quote items.

Additionally, the Contractor will at no cost to the City notify the Director within forty-eight (48) hours in advance of beginning work and thereafter coordinate with the Director or the Director’s representative regarding the proposed work schedule, pay request submittals and obtain approval and request for cooperative efforts by the City.

**The Contractor and Transportation Director, or appointed designee, is to coordinate specific times when work is to be limited with the adjacent property representatives.**

The contractor is to inform the Director or the Designated Representative of the estimated arrival time on site and description of work to be performed for each workday. In the event that no work is to be performed, the contractor shall provide an explanation describing the reasons for the given day.

The Contractor will be required to begin work in accordance with the Notification to Proceed from the City on all work included in this contract or as directed by the Director or the Director’s representative.

The Contractor shall at all times be responsible for the supervision, conduct, and discipline of his employees and/or Subcontractors and persons employed by said Subcontractors. All foremen and workmen must have sufficient knowledge, skill, and experience to perform properly the work assigned to them. Any foreman or workman, who in the opinion of the Director, that does not perform his work in a skillful and diligent manner, or who acts in a disorderly or intemperate manner, shall be removed from any portion of the work covered by this contract by the Contractor.

When one or multi-crews are employed, there shall be a designated crew foremen or job superintendent that will represent the Contractor as a single point of contact for the crew(s).

4) **Specifications and Regulations:** The following sections under this Part 4) are for earthwork, stormwater conveyance structure installation or adjustments, structural subgrades, concrete sidewalk installation, ADA accommodations, concrete curb and gutter, roadway asphalt and concrete pavements, sedimentation and erosion control, pavement marking, site security and work zone traffic safety, and other operations as also delineated and described in Part 2) Project Information, other Parts of Exhibit A, the construction plans, and Special Conditions.

All material, methods and other aspects of the work shall be provided, constructed, installed, inspected, and accepted in accordance with and as further defined under the applicable Divisions and Sections of the Standard Specifications, associated Standard Drawings, provisions of these General Conditions, Special Conditions, Contract Documents, construction plans or associated documents and cross-references therein and as generally described as follows:
Note: Where mentioned and applied in the Standard Specifications, Engineer shall under this contract be deemed as and have the same authority as the Transportation Director. Other items with regards to specifications and regulations for this work are noted in the Special Conditions.

*********

**Construction Control of Work**
The Contractor shall be responsible for all project control, construction layout, and staking so as to properly construct the work in accordance with Division 1 - Section 105 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director’s representative. No direct payment will be made for the control of work as this operation will be incidental to the work and full compensation for such will need to be included as part of the unit prices for the various Pay Items in the contract.

**Work Zone Traffic Control**
All material, installation of control measures, and maintenance of this section of the work shall be in accordance with Division 11 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director’s representative.

All pedestrian control, vehicular traffic control, safety, and security associated with the entire work shall be in accordance with Part 6 of the Manual on Uniform Traffic Control Devices (MUTCD) latest Edition, OSHA, and any other applicable federal, state and local laws and regulations and shall be the responsibility of the Contractor. All hazards associated with the work that may pose as a danger and hazard shall be protected both during and outside of working hours. The Contractor shall not close a lane of traffic, detain and/or alter the traffic flow on or during holidays, holiday weekends, special events, or any other time when traffic is unusually heavy.

The Contractor is responsible for operating and maintaining traffic control correctly. At least one member of each crew on the project site shall be certified in Work Zone Traffic Control and the operation(s) being used. If the Contractor fails to provide proper traffic control, the Director or the Director’s representative has the authority to cease all operations and the contractor will not be allowed to continue for that day on this contract. This will result in the loss of production for this day and is not a legitimate claim for contract time extension.

The Contractor shall operate his equipment and conduct his operation to maintain the flow and safety of traffic. Maintenance of traffic (both vehicular and pedestrian) shall be done in accordance with Section 150 of the Standard Specifications. Work shall only be performed when weather and visibility conditions allow safe operations. Traffic cones (36-inch minimum height) may be used when approved by the Director or the Director’s representative. When cones are used, they shall be either double stacked or have special heavy bottoms such that they will not be blown over by traffic. The Contractor shall temporarily remove his equipment from the travelway for emergency vehicles and school buses as directed by the Director or the Director’s representative.

Work shall not be performed before sunrise or after sunset unless approved by the Director and such work is in compliance with Section 107 of the Standard Specifications and the Contractor provides artificial lighting as may be necessary to provide for safe and proper construction and to provide for adequate inspection of the work as described in Section 1413.
Earthwork
All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 2, Division 8 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director’s representative.

Pipes and Culverts
All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 3 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director’s representative.

Subgrades, Bases, and Shoulders
All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 5 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director’s representative.

Asphalt Pavements
All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 6 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director’s representative.

Concrete Pavements
All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 7 and other applicable Sections and Divisions of the Standard Specifications unless otherwise delineated or specified. All work shall be to the satisfaction of the Director or the Director’s representative.

Concrete Sidewalks, Wheelchair Ramps and Associated Incidentals
All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 8 - Section 848 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director’s representative. All concrete materials, unless otherwise delineated or specified, used for the work shall be 3600 psi and be non-tinted.

Concrete Curb and Gutter
All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 8 - Section 846 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director’s representative.

Materials
All materials used for the work shall be in accordance with Division 10 and other applicable Sections and Divisions of the Standard Specifications. The Contractor shall furnish the applicable certifications and documentation for all materials as required by the Standard Specifications. Material that is not properly certified will not be accepted.
Pavement Markings
All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 12 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director’s representative.

Sedimentation and Erosion Control
All material, construction, inspection and acceptance of this section of the work shall be in accordance with Division 16 and other applicable Sections and Divisions of the Standard Specifications. All work shall be to the satisfaction of the Director or the Director’s representative.

Acceptance of Materials and Work
Acceptance of all materials and work shall be to the satisfaction of the Director or the Director’s representative.

Water Meter Vault

Provide water meter vault as shown in the plans, in accordance with the City of Concord Standard Detail and as directed by the Engineer. All items shown in the detail shall be included in this unit, including gate valve, vault, appurtenances to complete, and connection to existing backflow preventer. City to furnish and install meter.

Measurement and Payment

Water Meter Vault will be measured and paid as the actual number of vaults satisfactorily installed, connected and accepted. Such price and payment will be full compensation for all labor, material and equipment necessary to construct the water meter vault in accordance with the plans.

Payment will be made under:

<table>
<thead>
<tr>
<th>Pay Item</th>
<th>Pay Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>4-in Water Meter Vault</td>
<td>Each</td>
</tr>
</tbody>
</table>

Abandon Water Vault

Abandon water vault by breaking off and removing the top section in conflict with the proposed sidewalk, breaking the bottom slab for drainage and filling the vault with suitable material.

Plug connecting utility pipes before filling or removing the vault.

Removed frames and covers become the property of the Contractor for proper disposal.

Measurement and Payment

Payment will be made under:

<table>
<thead>
<tr>
<th>Pay Item</th>
<th>Pay Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>Abandon Water Vault</td>
<td>Each</td>
</tr>
</tbody>
</table>
Storm Drain Inlet Protection

DESCRIPTION

The Work covered in this section consists of installing storm drain inlet protection as necessary help reduce the effects of soil erosion and to retain sediment.

INSPECTION AND MAINTENANCE OF SEDIMENT TUBES

Inspect filter sacks after installation to ensure that no gaps exist under the filter sack and the rim of structure.

Inspect filter sacks every seven (7) days. Repair any damage to filter sack or replace per engineer request.

Remove sediment deposits that impair the filtration capability of a filter sack when the sediment reaches sediment capacity line on filter. Remove and/or replace installed filter sacks as required to adapt to changing construction site conditions.

MEASUREMENT AND PAYMENT

The quantity of "Filter Sack Inlet Protection" is to be paid for per each filter sack installed and accepted. All work consisting of, but not limited to, purchases, storing, and installing the filter sack and all material, time, and labor costs associated will be considered incidental to the work.

Payment will be made under:

<table>
<thead>
<tr>
<th>Pay Item</th>
<th>Pay Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>Storm Drain Inlet Protection</td>
<td>Each</td>
</tr>
</tbody>
</table>

*******

Inspection, quality control management and required testing for stormwater piping, concrete, and asphalt construction shall be in accordance with Division 3 – Pipe Culverts, Division 5 – Subgrades, Bases, and Shoulders, Division 6 – Asphalt Pavement, Division 7 – Concrete Pavements and Shoulders and Division 8 – Incidentals of the Standard Specifications and in accordance with the requirements shown in the Contract Documents. Other required inspections (i.e. erosion control devices, earthwork, etc.) shall be in accordance with the Standard Specifications and the Contract Documents.

*******

Responsibilities of the Contractor shall be in accordance with Division 1 of the Standard Specifications and in accordance with the requirements shown in the Contract Documents.

The Contractor shall keep himself fully informed of, comply with, give all notices, and secure all permits and approvals associated with Federal, State and local laws, regulations, codes and ordinances in any manner affecting the work, and all such orders and decrees as exist, or may be enacted by bodies having any jurisdiction or authority over the work, and shall indemnify
and hold harmless the City against any claim or liability, including the cost of defense and attorney’s fee arising from, or based on, the violation of any such laws, regulations, codes, ordinances, order or decree, whether by himself or his employee.

If any device, design, material or process covered by letters, patent or copyright is used by the Contractor, he shall provide for such use by legal agreement with the owner of the patent or a duly authorized licensee of such owner, and shall hold harmless the City from any and all loss or expense on account thereof in accordance with the first paragraph of this subsection, including its use by the City.

5) **Posted Weight Limits:** The Contractor’s attention is directed to the fact that certain bridges in the City of Concord have posted weight limits. The Contractor will not be allowed to exceed the posted weight limits in transporting materials or equipment to the project. The Contractor should make a thorough examination of all maps and haul routes (both City and NCDOT facilities) on this project.

6) **Utility Locations, Conflict and Coordination:** Protection of all public and private property on and adjacent to the work and responsibility to the public shall be in accordance with Section 107 of the Standard Specifications.

The Contractor shall be solely responsible for contacting the appropriate utility or agency in the work areas and for the exact locations of all overhead or underground facilities, utilities or services before starting work. It shall be the sole responsibility of the Contractor to verify the location of all utilities and services along and in the work area and to protect such from uninterrupted service. The Contractor shall adhere to all applicable regulations and follow accepted safety procedures when working in the vicinity of utilities in order to insure the safety of construction personnel and the public.

Permanent relocation(s) of any utility or utility service as indicated on the construction plans and as specified on the Quoting Form shall be performed by the Contractor. Acceptance of all materials and work shall be to the satisfaction of the Director or the Director’s representative in coordination with representatives of the appropriate utility or agency.

Any utility or service that, in the opinion of the Contractor, will have to be made safe or temporarily relocated in order to perform the work shall be coordinated between the Contractor and the applicable utility or service provider. No direct payment will be made for this work, as it will be incidental to the project work and payment at the contract unit price for the various Pay Items in the contract will be full compensation for such action.

7) **Site Security, Sanitary Provisions, Clean-Up, Pollution Control, and Storage:** The Contractor shall at all times conduct the work as to insure the least possible obstruction to pedestrians, vehicular traffic and inconvenience to the general public and businesses in the vicinity of the work, and to insure the protection of persons and property in a manner satisfactory to the City. No road shall be closed to the public except with the permission of the Director. Fire hydrants on or adjacent to the work shall be kept accessible to fire-fighting equipment at all times.

The Contractor is wholly responsible for off-site location of his trucks, equipment and associated material.

At the end of each workday, the Contractor shall clean the site of any non-structural component debris and waste materials, which result from the work and secure the work from
any hazardous condition. The Contractor or his Subcontractor will haul and properly dispose away any such debris or waste material to an approved, public receiving facility permitted by the Solid Waste Management Division of the North Carolina Department of Environment and Natural Resources to receive the type material being disposed. All debris materials permanently removed are the property of the Contractor.

The Contractor shall provide for the control of erosion, siltation, and pollution in accordance with Section 107-13 of the Standard Specifications.

The Contractor shall provide in accordance with Section 107-7 of the Standard Specifications such sanitary accommodations for the use of his employees as may be necessary to comply with the requirements and regulations of the local and State health authorities.

The Contractor shall not enter upon private property for any purpose without obtaining permission, and shall be responsible for the preservation of all public property and other items, along and adjacent to the street right-of-way. The Contractor shall use every suitable precaution to prevent damage to such items and especially including vehicles, structures, poles, wires, conduits, underground infrastructure, signs, pavement, monuments, and property marks in the vicinity of the work.

8) **Insurance:** The Contractor shall procure and maintain insurance for the contract time by the Contractor, at his own expense, in amounts and coverage as stated in Sec. 7 and Sec. 8 of the **CONSTRUCTION CONTRACT FOR Virginia St., SE. Rehabilitation.** Certificate(s) of Insurance and proof thereof shall be furnished to the Transportation Director and approved by the City of Concord Safety, Health and Risk Manager and City Attorney(s) prior to commencement of the work.

9) **Indemnity:** Refer to Sec. 13 of the **CONSTRUCTION CONTRACT FOR Virginia St., SE. Rehabilitation.**

10) **Contract Administration:** The general responsibility for the administration of this contract will be done by the Director or the Director’s representative. All work, reports, and requests for payment shall be subject to inspection and evaluation by the Director or the Director’s representative at any time.

The Director acting directly, or through duly authorized representatives, will decide all questions which may arise as to the quality and acceptability of the work performed and as to the rate of progress of the work; all questions which may arise as to the interpretation of the contract; and all questions as to the acceptable fulfillment of the contract on the part of the Contractor. The Director’s decision shall be final and shall have executive authority to enforce and make effective such decisions and orders if the Contractor fails to carry out promptly.

11) **Method of Measurement:** Measurement of the work will be made based on the actual and verified quantities which has been satisfactorily completed and accepted by the City as under the specific pay items as provided on the Quote Form. The method of measurement and computations used in determination of quantities of material furnished and of work performed under the contract will be those methods generally recognized as conforming to accepted engineering practices and according to United States standard measures. Quantities indicated on the Quote Form are estimated and not guaranteed; they are solely for comparing Bid.
12) Basis of Payment and Requests for Payment: The quantities of the work, measured as provided above, will be paid for at the bid unit price on the Quote Form.

13) Payment(s) and full compensation for the work will be based on the actual accepted quantities installed and payment(s) shall include any and all related items as specified in the Project Information and as indicated on the construction plans and discussed in the pre-bid conference, the Standard Specifications or any Special Provisions attached hereto including, but is not limited to, all mobilizations, demobilizations, control, layout, materials, tools, labor, rentals, consumables, miscellaneous hardware, supporting hardware and software, clearing, grubbing, excavations, hauling operations, filling, rough grading and fine grading, compaction, installation and / or adjustments of stormwater drainage conveyance structures, incidental relocations, structural stabilization, forming, backfilling, sawing exiting concrete and asphalt, furnishing, placing, and finishing concrete, construction joints, ADA accommodations, milling, tacking, furnishing, placing and compaction of asphalt, sedimentation and erosion control, touch-up repairs, security of the work, traffic control and safety operations with associated materials, removal of any debris, cleanup, and disposal(s), special subcontracting, permits and licenses, seeding, mulching, miscellaneous landscaping and relocations, and all else required as necessary, in accordance with the Contract documents, City Technical Standards Manual, Standard Specifications, and Standard Drawings to the satisfaction of the Director and the City of Concord.

Note: There will be no payment made for any item of the work not specifically listed as a Quote Pay Item as they are considered incidental to the other Pay Items in the Quote.

Note: Any theft of materials or vandalism to the work that occurs during construction, as noted by the Director or his representative, shall be repaired or replaced at the expense of the Contractor at no cost to the City. Contractor will insure the work until such is completed and accepted by the City.

Forms for billing the City for services performed are to be made on forms provided by the City of Concord. No other forms will be accepted by the City and processed for payment. The Contractor shall submit month invoices (if the work goes beyond one month) by the 25th day of each month or interim invoices if so necessitated by certain contracts and as directed by the City, which shall be processed for payment thirty (30) days after receipt. Invoices and time sheets shall be in duplicate – one original set to be attached to invoices for City of Concord Finance Department and one copy to be filed with the Transportation Department.

14) Oral Agreements and Claims for Additional Compensation: No oral order, objection, claim or notice by any party to the others shall effect or modify any of the terms of obligations contained in any of the contract documents other than by a definitely agreed upon waiver or modification by both the Contractor and the City in writing.

Any claims for additional compensation shall be submitted in writing to the Director with detailed justification within thirty (30) days after receipt of the final invoice payment. The failure of the Contractor to submit the claim(s) within thirty days shall be a waiver of any such claims and a bar to recovery.

15) Contract Time and City’s Right to Terminate Contract: This contract shall commence on the effective date given in the Contract Agreement (Notice to Proceed Date) and shall be effective for a period of TWO HUNDRED AND SEVENTY (270) days. Any requests for extensions to the contract time shall be made in writing by the Contractor and approved by
the Director. The City shall have the right to suspend work or to terminate the contract after
giving at least ten (10) days written notice of suspension or termination to the Contractor.

16) Subletting of Contract: The Contractor shall not sublet, sell, transfer, assign or otherwise
dispose of this contract or any portion thereof, or his right, title or interest therein, without
written consent of the City. Subletting of this contract or any portion of the contract shall
conform to the provisions of these General Conditions and the Standard Specifications
Division 1 - Section 108-6.

17) Notices to Proceed: The Contractor shall be ready to perform work operations and stand on
emergency call as soon as possible after the issuance of a City Purchase Order Number /
Notice to Proceed, but in no event delay the onset of work operations later than thirty (30)
days of delivery of said City Purchase Order Number / Notice to Proceed.

18) Litigation Venue: Any controversy or litigation arising out of this contract shall be resolved
in the courts of Cabarrus County, North Carolina and as further stated in Sec. 15. of the
CONSTRUCTION CONTRACT FOR Virginia St., SE Rehabilitation. This contract shall
be subject to the laws of the State of North Carolina and with respect to this Part 18), time is
of the essence.

19) Submission and Rejection of Quotes: No Quoter may submit more than one quote. Multiple
quotes under different names will not be accepted from one firm or association. A
conditional quote will not be accepted. Oral, telephone, facsimile, or telegraph quotes will
not be accepted. The Quote Form must be filled out and submitted in the bound documents.
All quotes will remain subject to acceptance for the number of days set forth in the Quote
Form. The City of Concord reserves the right to reject any or all quotes.

20) Liquidated Damages: The City and Contractor recognize that time is of the essence of this
contract and that the City will suffer financial loss if the work is not completed within the
contract specified time, plus any extensions thereof allowed in accordance with Part 17) of
these General Conditions. They also recognize the delays, expense, and difficulties involved
in proving in a legal or arbitration proceeding the actual loss suffered by the City if the work
is not completed on time. Accordingly, instead of requiring any such proof, the City and
Contractor agree that as liquidated damages for delay (but not as a penalty) the Contractor
shall pay the City $500.00 for each calendar day that expires after the contract specified time
until the remaining / outstanding work (punchlist) is performed by the Contractor and until
such time as punchlist completion and readiness for final payment is made.

In case of joint responsibility for delay in the completion of the work, where two or more
separate contracts are in force at the same time and cover work at the same site, liquidated
damages assessed against any one Contractor will be based upon the individual responsibility
of that Contractor for the delay as determined by, and in the judgment of, the Director.

The City shall have the right to deduct the liquidated damages from any money in its hands,
otherwise due, or to become due, to the Contractor, or to initiate applicable dispute resolution
procedures and recover liquidated damages for nonperformance of this contract within the
specified time of the contract.
Bid Submittal

Sealed bids will be received by the City of Concord at the Alfred M. Brown Operations Center, Ready Room, 635 Alfred Brown Jr. Court, SW, Concord, North Carolina 28026-0308 until 2:00 p.m., local time, Wednesday, October 30th, 2019 for the Virginia St., SE Rehabilitation Contract.

At said place and time, and promptly thereafter, all bids that have been duly received will be publicly opened and read aloud.

Attendance at the Pre-Bid Conference (On-site at 9:30 AM, October 23, 2019) is required to submit a Bid

Phillip Graham, P.E., Transportation Director
City of Concord
635 Alfred Brown Jr. Court SW / P.O. Box 308
Concord, NC 28026-0308

Please note on outside of the envelope
Bid Included – Virginia St., SE Rehabilitation

- Continued Next Page -
### Streets Base Bid Items (1 Thru 29)

<table>
<thead>
<tr>
<th>Item No.</th>
<th>Description – Base Bid</th>
<th>Unit</th>
<th>Quantity</th>
<th>Unit Price $</th>
<th>Item Total $</th>
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</thead>
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<td><strong>Control of Work</strong></td>
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<tr>
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<td>Mobilization</td>
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<td>Traffic Control</td>
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<td>3</td>
<td>4” thk. Concrete Sidewalk</td>
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<td>6” thk. Concrete Driveways and Sidewalk</td>
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<td>Misc. Concrete</td>
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<td>8</td>
<td>Grading and other (includes saw cutting, demolition, disposals, fill material, erosion control measures, etc.)</td>
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<td>Stabilization Fabric</td>
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<td>ABC Stone for Stabilization</td>
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<td>12</td>
<td>Demolition of Existing Concrete surface, curb and gutter, sidewalk, etc</td>
<td>LS</td>
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<td><strong>Erosion Control</strong></td>
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<td>Dust Control</td>
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<td></td>
<td><strong>Storm Drainage</strong></td>
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<tr>
<td>15</td>
<td>Catch Basin (CB)</td>
<td>EA</td>
<td>4</td>
<td></td>
<td></td>
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<tr>
<td>16</td>
<td>Catch Basin Frame, Grate, and Hood</td>
<td>EA</td>
<td>5</td>
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<tr>
<td>17</td>
<td>Double Catch Basin (DCB)</td>
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<td></td>
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<tr>
<td>18</td>
<td>15” RCP Culverts (Class III)</td>
<td>LF</td>
<td>80</td>
<td></td>
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<tr>
<td>19</td>
<td>18” RCP Culverts (Class III)</td>
<td>LF</td>
<td>47</td>
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<td>Adjust Catch Basin(s) as required</td>
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<td>NCDOT Class B Rip Rap</td>
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<tr>
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<td><strong>Asphalt/Stone</strong></td>
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</tr>
<tr>
<td>22</td>
<td>Surface Course 2” S9.5B</td>
<td>TON</td>
<td>715</td>
<td></td>
<td></td>
</tr>
<tr>
<td>23</td>
<td>Intermediate Course 2.25” I19.0B</td>
<td>TON</td>
<td>715</td>
<td></td>
<td></td>
</tr>
<tr>
<td>24</td>
<td>ABC Stone 10” (Base)</td>
<td>TON</td>
<td>1,330</td>
<td></td>
<td></td>
</tr>
<tr>
<td>25</td>
<td>Mill the bituminous pavement – Depth up to 2”</td>
<td>SY</td>
<td>110</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Description – Base Bid</td>
<td>Unit</td>
<td>Quantity</td>
<td>Unit Price $</td>
<td>Item Total $</td>
</tr>
<tr>
<td>---</td>
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</tr>
<tr>
<td>26</td>
<td>ABC Stone (Driveway Tie-ins and Incidental)</td>
<td>TON</td>
<td>50</td>
<td></td>
<td></td>
</tr>
<tr>
<td>27</td>
<td>Seeding and Mulching</td>
<td>SY</td>
<td>2500</td>
<td></td>
<td></td>
</tr>
<tr>
<td>28</td>
<td>Crosswalk Striping [City of Concord Standard]</td>
<td>LF</td>
<td>220</td>
<td></td>
<td></td>
</tr>
<tr>
<td>29</td>
<td>Stop Bar – 24”</td>
<td>LF</td>
<td>65</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**TOTAL BASE BID (ITEMS 1 THRU 29)**

**10% CONTINGENCY**

**TOTAL BID STREETS ITEMS**

<table>
<thead>
<tr>
<th></th>
<th>Description – Base Bid</th>
<th>Unit</th>
<th>Quantity</th>
<th>Unit Price $</th>
<th>Item Total $</th>
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<tbody>
<tr>
<td>30</td>
<td>Stabilization Stone</td>
<td>TON</td>
<td>100</td>
<td></td>
<td></td>
</tr>
<tr>
<td>31</td>
<td>6” DIP (PC 350)</td>
<td>LF</td>
<td>2360</td>
<td></td>
<td></td>
</tr>
<tr>
<td>32</td>
<td>6” DIP Bends 11- 1/2 Degree</td>
<td>EA</td>
<td>8</td>
<td></td>
<td></td>
</tr>
<tr>
<td>33</td>
<td>6” DIP Bends 22- 1/2 Degree</td>
<td>EA</td>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>34</td>
<td>6” DIP Bends 45 Degree</td>
<td>EA</td>
<td>18</td>
<td></td>
<td></td>
</tr>
<tr>
<td>35</td>
<td>6”x6”x6” DIP Tee</td>
<td>EA</td>
<td>4</td>
<td></td>
<td></td>
</tr>
<tr>
<td>36</td>
<td>4” DIP (PC 350)</td>
<td>LF</td>
<td>16</td>
<td></td>
<td></td>
</tr>
<tr>
<td>37</td>
<td>6”x6”x4” DIP Tee</td>
<td>EA</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>38</td>
<td>Connection to Existing Water Mains (to include TS&amp;V)</td>
<td>EA</td>
<td>6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>39</td>
<td>Water Service Connection and Meter Assembly, excluding Meter (City to Install Meter) 3/4” Domestic Long Side</td>
<td>EA</td>
<td>14</td>
<td></td>
<td></td>
</tr>
<tr>
<td>40</td>
<td>Water Service Connection and Meter Assembly, excluding Meter (City to Install Meter) 3/4” Domestic Short Side</td>
<td>EA</td>
<td>13</td>
<td></td>
<td></td>
</tr>
<tr>
<td>41</td>
<td>6” Gate Valves with Box</td>
<td>EA</td>
<td>5</td>
<td></td>
<td></td>
</tr>
<tr>
<td>42</td>
<td>Hydrant Assembly</td>
<td>EA</td>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>43</td>
<td>12.75” by 0.188” Thick Steel Casing</td>
<td>LF</td>
<td>40</td>
<td></td>
<td></td>
</tr>
<tr>
<td>44</td>
<td>Polyethylene Tube Encasement</td>
<td>LF</td>
<td>340</td>
<td></td>
<td></td>
</tr>
<tr>
<td>45</td>
<td>4” Water Meter Vault, excluding Meter (City to install Meter)</td>
<td>EA</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>46</td>
<td>Establishment of Water service to existing water service line plumbing with a Shut-off valve, a pressure reducing valve and all associated appurtenances and permits, in accordance with the most current version of the NC plumbing code and Cabarrus County Regulations</td>
<td>EA</td>
<td>27</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Item No.</td>
<td>Description – Base Bid</td>
<td>Unit</td>
<td>Quantity</td>
<td>Unit Price $</td>
<td>Item Total $</td>
</tr>
<tr>
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<tr>
<td>47</td>
<td>Remove Water Meter</td>
<td>EA</td>
<td>27</td>
<td></td>
<td></td>
</tr>
<tr>
<td>48</td>
<td>Remove Fire Hydrant</td>
<td>EA</td>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>49</td>
<td>Abandon Water Vault</td>
<td>EA</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>50</td>
<td>Storm Drain Inlet Protection</td>
<td>EA</td>
<td>9</td>
<td></td>
<td></td>
</tr>
<tr>
<td>51</td>
<td>Incidental ABC Stone for Waterline Placement</td>
<td>TON</td>
<td>550</td>
<td></td>
<td></td>
</tr>
<tr>
<td>52</td>
<td>Lime Soil Stabilization</td>
<td>SY</td>
<td>8,200</td>
<td></td>
<td></td>
</tr>
<tr>
<td>53</td>
<td>Sanitary Lateral Replacement – From mainline to right-of-way/cleanout</td>
<td>EA</td>
<td>10</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**TOTAL BASE BID (ITEMS 30 THRU 51).................................................................
10% CONTINGENCY..........................................................................................

**TOTAL BID WATER ITEMS..................................................................................

**TOTAL BID (Streets Items and Water Items)....................................................

**ALTERNATE BID ITEMS

<table>
<thead>
<tr>
<th>Item No.</th>
<th>Description – Base Bid</th>
<th>Unit</th>
<th>Quantity</th>
<th>Unit Price $</th>
<th>Item Total $</th>
</tr>
</thead>
<tbody>
<tr>
<td>52</td>
<td>Lime Soil Stabilization</td>
<td>SY</td>
<td>8,200</td>
<td></td>
<td></td>
</tr>
<tr>
<td>53</td>
<td>Sanitary Lateral Replacement – From mainline to right-of-way/cleanout</td>
<td>EA</td>
<td>10</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**TOTAL ALTERNATE BID (ITEMS 52 THRU 53)....................................................
10% CONTINGENCY..........................................................................................

**TOTAL BID ALTERNATE ITEMS............................................................................

- This bid shall not add any unauthorized additions, deletions, or conditional bid.
- Bidder agrees that any and all excavations are unclassified.
- This bid will remain subject to acceptance for **thirty (30)** days after the date of submittal given above and that once accepted and the Contract executed between the City and the Contractor that the unit prices shall remain as submitted herewith for a period of **three hundred sixty-five (365)** days.
- Bidder agrees that the Work will be substantially complete within **225** days after the Notice to Proceed is executed and complete, and ready for final payment on or within **TWO HUNDRED AND SEVENTY (270)** days after the effective date of the contract (Notice to Proceed date). **Time is of the essence.**
Do Not Separate the Bid Form and Signature of Bidder sheets from the Contract Document Book.

******* SIGNATURE OF BIDDER *******

If an Individual

Contractor's License Number: ______________________

License Expiration Date: ______________________

By: __________________________________________

(doing business as: _____________________________)

Business address: ______________________________ State: ______ ZIP: ______

Phone No.: ________________________________

Date: ______________________________, 20__

ATTEST: ______________________________

If a Partnership

Contractor's License Number: ______________________

License Expiration Date: ______________________

By: __________________________________________

(firm name)

_______________________________

(signature of general partner)

Business address: ______________________________

City: ______________________________ State: ______ ZIP: ______

Phone No.: ________________________________

Date: ______________________________, 20__

ATTEST: ______________________________ Title: ______________________
If a Corporation

Contractor's License Number: ____________________________
License Expiration Date: ____________________________

By: ____________________________
     (corporation name)

_________________________  Title: ____________________________
     (signature of authorized official)

Business address: ____________________________

City: ____________________________  State: ______  ZIP: ______

Phone No.: ____________________________

Date: ____________________________, 20__

ATTEST: ____________________________  Title: ____________________________

(Seal)

If a Joint Venture  (OTHER PARTY MUST SIGN BELOW)

If an Individual

Contractor's License Number: ____________________________
License Expiration Date: ____________________________

By: ____________________________
     (signature of individual)

doing business as: ____________________________

Business address: ____________________________

City: ____________________________  State: ______  ZIP: ______

Phone No.: ____________________________

Date: ____________________________, 20__

ATTEST: ____________________________
If a Partnership

Contractor's License Number: _________________

License Expiration Date: _________________

By: ________________________________________  
(firm name)

_________________________________________  
(signature of general partner)

doing business as: ______________________________________________________________________

Business address: __________________________  
City: __________________________ State: ______ ZIP: __________

Phone No.: ________________________________

Date: ___________________________, 20__

ATTEST: ___________________________ Title: __________________________

If a Corporation

Contractor's License Number: _________________

License Expiration Date: _________________

By: ________________________________________  
(corporation name)

_________________________________________  
(signature of authorized official)

Business address: __________________________  
City: __________________________ State: ______ ZIP: __________

Phone No.: ________________________________

Date: ___________________________, 20__

ATTEST: ___________________________ Title: __________________________

(Seal)

- Continued Next Page -
DEBARRED FIRMS CERTIFICATION FORM

FROM:     City of Concord City Council  
P.O. Box 308, 35 Cabarrus Ave., W.  
Concord, North Carolina  28026-0308

TO:      Bidders of Virginia St., SE Rehabilitation

The undersigned hereby certifies that the firm of ______________________________________ has not been suspended by the State of North Carolina or any Agency or Department thereof for conviction or indictment or any of the offences enumerated in N.C.G.S. 133-27 nor will award any subcontracts of any tier to firms that have been suspended for conviction or indictment of any of the offenses enumerated in N.C.G.S. 133-27.

________________________________  
Name of firm  
________________________________  
Title:  _____________________________

Signature of authorized official

ATTEST:  _____________________________  
Title:  _____________________________

(Seal)

____________  County
North Carolina

Sworn and Subscribed before me this the ___ day of ____________, 20___

____________________________              ________________________________
Signature of Notary Public                         (Print or type name of Notary Public)

Virginia St., SE. Rehabilitation
CITY OF CONCORD DBE PROGRAM

POLICY STATEMENT

Commitment to the DBE Program §26.3

The City of Concord has established a Disadvantaged Business Enterprise (DBE) program in accordance with regulations of the U.S. Department of Transportation (DOT). 49 CFR Part 26. The City of Concord has received Federal financial assistance from the Department of Transportation, and as a condition of receiving this assistance, the City of Concord has signed an assurance that it will comply with 49 CFR Part 26.

Policy Statement §26.1

It is the policy of the City of Concord to ensure that DBEs as defined in 49 CFR Part 26, have an equal opportunity to receive and participate in U.S. DOT-assisted contracts. It is also the City of Concord’s policy to:

1. Ensure nondiscrimination in the award and administration of U.S. DOT—federally-assisted contracts;
2. Promote and maintain a level playing field on which all small businesses meeting the requisite size standards, including DBEs, can compete fairly for U.S. DOT federally-assisted contracts;
3. Ensure that the DBE Program is narrowly tailored in accordance with applicable law;
4. Ensure that only firms that fully meet 49 CFR Part 26 eligibility standards are permitted to participate as DBEs;
5. Identify and remove barriers to the participation of all small businesses meeting the requisite size standards, including DBEs, in bidding, awarding, and administration of U.S. DOT federally assisted contracts;
6. Assist the development of DBE’s so that they can compete successfully in the market place outside the DBE Program.
7. Increase the participation of all small businesses meeting the requisite size standards, including DBE’s, in the City’s procurement of materials, equipment and supplies, and
8. Facilitate the implementation of the DBE program using race-neutral measure to the maximum feasible extent.

DBE Liaison Officer §26.25

The City’s Grant Administrator has been designated as the DBE Liaison Officer. In that capacity, the Grant Administrator is responsible for implementing all aspects of the DBE program, including developing, implementing, and monitoring the daily operations. Implementation of the DBE program is accorded the same priority as compliance with all other legal obligations incurred by the City of Concord in its financial assistance agreements with the U.S. Department of Transportation.

Dissemination of Policy Statement §26.23

The City of Concord has disseminated this policy statement to the Concord City Council and all of the components of our organization. We have distributed this statement to DBE and non-DBE business communities that perform work for us on U.S. DOT-assisted contracts. The DBE Policy is also published on the City of Concord’s website http://www.concordnc.gov/Departments/Finance/Purchasing/Disadvantaged-Business-Enterprise. The policy statement is available in the City Clerk’s office and the DBE Liaison’s office and will be mailed to all parties requesting a copy or a City transportation-related RFP, or expressing an interest in obtaining a transportation-related contract with the City.

City Manager  Date

Page 4 of 37
EXHIBIT “I”

MINORITY BUSINESS FORMS

(To be submitted by awarded contractor)

TRANSPORTATION DEPARTMENT

P.O. Box 308 – 635 Alfred Brown Jr. Court, SW
Concord, North Carolina 28026-0308
704-920-5338
Identification of Minority Business Participation

I, _______________________________ (Name of Bidder)
do hereby certify that on this project, we will use the following minority business enterprises as
construction subcontractors, vendors, suppliers or providers of professional services.

<table>
<thead>
<tr>
<th>Firm Name, Address and Phone #</th>
<th>Work type</th>
<th>*Minority Category</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tr>
</tbody>
</table>

*Minority categories: Black, African American (B), Hispanic (H), Asian American (A) American Indian (I), Female (F) Socially and Economically Disadvantaged (D)

The total value of minority business contracting will be ($)______________.
State of North Carolina AFFIDAVIT  A – Listing of Good Faith Efforts
County of ____________________________  (Name of Bidder)

Affidavit of _______________________________________________________________________

I have made a good faith effort to comply under the following areas checked:

Bidders must earn at least 50 points from the good faith efforts listed for their bid to be considered responsive.  (1 NC Administrative Code 30 I.0101)

☐ 1 – (10 pts) Contacted minority businesses that reasonably could have been expected to submit a quote and that were known to the contractor, or available on State or local government maintained lists, at least 10 days before the bid date and notified them of the nature and scope of the work to be performed.

☐ 2 --(10 pts) Made the construction plans, specifications and requirements available for review by prospective minority businesses, or providing these documents to them at least 10 days before the bids are due.

☐ 3 – (15 pts) Broken down or combined elements of work into economically feasible units to facilitate minority participation.

☐ 4 – (10 pts) Worked with minority trade, community, or contractor organizations identified by the Office of Historically Underutilized Businesses and included in the bid documents that provide assistance in recruitment of minority businesses.

☐ 5 – (10 pts) Attended prebid meetings scheduled by the public owner.

☐ 6 – (20 pts) Provided assistance in getting required bonding or insurance or provided alternatives to bonding or insurance for subcontractors.

☐ 7 – (15 pts) Negotiated in good faith with interested minority businesses and did not reject them as unqualified without sound reasons based on their capabilities. Any rejection of a minority business based on lack of qualification should have the reasons documented in writing.

☐ 8 – (25 pts) Provided assistance to an otherwise qualified minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letters of credit, including waiving credit that is ordinarily required. Assisted minority businesses in obtaining the same unit pricing with the bidder's suppliers in order to help minority businesses in establishing credit.

☐ 9 – (20 pts) Negotiated joint venture and partnership arrangements with minority businesses in order to increase opportunities for minority business participation on a public construction or repair project when possible.

☐ 10 - (20 pts) Provided quick pay agreements and policies to enable minority contractors and suppliers to meet cash-flow demands.

The undersigned, if apparent low bidder, will enter into a formal agreement with the firms listed in the Identification of Minority Business Participation schedule conditional upon scope of contract to be executed with the Owner. Substitution of contractors must be in accordance with GS143-128.2(d) Failure to abide by this statutory provision will constitute a breach of the contract.

The undersigned hereby certifies that he or she has read the terms of the minority business commitment and is authorized to bind the bidder to the commitment herein set forth.

Date: __________________________ Name of Authorized Officer: ______________________________

Signature: ______________________________

Title: ______________________________

State of North Carolina, County of ______________________________

Subscribed and sworn to before me this _____ day of ________ 20___

Notary Public ______________________________

My commission expires ______________________________

MBForms 2002-R
State of North Carolina --AFFIDAVIT B--  Intent to Perform Contract with Own Workforce.

County of ___________________________

Affidavit of ________________________________________________________________

(Name of Bidder)

I hereby certify that it is our intent to perform 100% of the work required for the __________________________

_____________________________________________________________ contract.

(Name of Project)

In making this certification, the Bidder states that the Bidder does not customarily subcontract elements of this type project, and normally performs and has the capability to perform and will perform all elements of the work on this project with his/her own current work forces; and

The Bidder agrees to provide any additional information or documentation requested by the owner in support of the above statement.

The undersigned hereby certifies that he or she has read this certification and is authorized to bind the Bidder to the commitments herein contained.

Date: __________ Name of Authorized Officer: __________________________________________

Signature: __________________________________________

Title: __________________________________________

State of North Carolina, County of __________________________

Subscribed and sworn to before me this ____________ day of ______ 20__

Notary Public ______________________________________

My commission expires __________________________
State of North Carolina - AFFIDAVIT C - Portion of the Work to be Performed by Minority Firms

County of _________________

(Note this form is to be submitted only by the apparent lowest responsible, responsive bidder.)

If the portion of the work to be executed by minority businesses as defined in GS143-128.2(g) is equal to or greater than 10% of the bidders total contract price, then the bidder must complete this affidavit. This affidavit shall be provided by the apparent lowest responsible, responsive bidder within 72 hours after notification of being low bidder.

Affidavit of _______________________________ I do hereby certify that on the ______________________

(Name of Bidder) (Project Name)

Project ID# ______________________ Amount of Bid $ __________

I will expend a minimum of _______% of the total dollar amount of the contract with minority business enterprises. Minority businesses will be employed as construction subcontractors, vendors, suppliers or providers of professional services. Such work will be subcontracted to the following firms listed below. Attach additional sheets if required

<table>
<thead>
<tr>
<th>Name and Phone Number</th>
<th>*Minority Category</th>
<th>Work description</th>
<th>Dollar Value</th>
</tr>
</thead>
<tbody>
<tr>
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</tr>
</tbody>
</table>

*Minority categories: Black, African American (B), Hispanic (H), Asian American (A) American Indian (I), Female (F) Socially and Economically Disadvantaged (D)

Pursuant to GS143-128.2(d), the undersigned will enter into a formal agreement with Minority Firms for work listed in this schedule conditional upon execution of a contract with the Owner. Failure to fulfill this commitment may constitute a breach of the contract.

The undersigned hereby certifies that he or she has read the terms of this commitment and is authorized to bind the bidder to the commitment herein set forth.

Date: __________ Name of Authorized Officer: ______________________________

Signature: ______________________________

Title: ______________________________

State of North Carolina, County of _________________

Subscribed and sworn to before me this ______ day of _______ 20___
Notary Public ______________________________
My commission expires _________________

MBForms 2002-R
State of North Carolina        AFFIDAVIT D – Good Faith Efforts

County of ______________________
(Note this form is to be submitted only by the apparent lowest responsible, responsive bidder.)

If the goal of 10% participation by minority business is not achieved, the Bidder shall provide the following documentation to the Owner of his good faith efforts:

(Name of Bidder)

Affidavit of: ________________________________

I do certify the attached documentation as true and accurate representation of my good faith efforts.

(Attach additional sheets if required)

<table>
<thead>
<tr>
<th>Name and Phone Number</th>
<th>*Minority Category</th>
<th>Work description</th>
<th>Dollar Value</th>
</tr>
</thead>
<tbody>
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</tr>
</tbody>
</table>

*Minority categories: Black, African American (B), Hispanic (H), Asian American (A) American Indian (I), Female (F) Socially and Economically Disadvantaged (D)

Documentation of the Bidder’s good faith efforts to meet the goals set forth in these provisions.

Examples of documentation include, but are not limited to, the following evidence:

A. Copies of solicitations for quotes to at least three (3) minority business firms from the source list provided by the State for each subcontract to be let under this contract (if 3 or more firms are shown on the source list). Each solicitation shall contain a specific description of the work to be subcontracted, location where bid documents can be reviewed, representative of the Prime Bidder to contact, and location, date and time when quotes must be received.

B. Copies of quotes or responses received from each firm responding to the solicitation.

C. A telephone log of follow-up calls to each firm sent a solicitation.

D. For subcontracts where a minority business firm is not considered the lowest responsible sub-bidder, copies of quotes received from all firms submitting quotes for that particular subcontract.

E. Documentation of any contacts or correspondence to minority business, community, or contractor organizations in an attempt to meet the goal.

F. Copy of pre-bid roster.

G. Letter documenting efforts to provide assistance in obtaining required bonding or insurance for minority business.

H. Letter detailing reasons for rejection of minority business due to lack of qualification.

I. Letter documenting proposed assistance offered to minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letter of credit, including waiving credit that is ordinarily required.

Failure to provide the documentation as listed in these provisions may result in rejection of the bid and award to the next lowest responsible and responsive bidder.

Date: _______________ Name of Authorized Officer: ____________________________

Signature: __________________________

Title: __________________________

State of North Carolina, County of ______________________

Subscribed and sworn to before me this ______ day of _____________ 20____

Notary Public __________________________

My commission expires ________________

SEAL

MBForms 2002-R
EXHIBIT “J”
NOTICE OF AWARD AND ACCEPTANCE OF NOTICE

TO:

FROM: City of Concord
P.O. Box 308
Concord, North Carolina 28026-0308

PROJECT: Virginia St., SE Rehabilitation Contract No. 2017-1057

You are hereby notified that the quote submitted by you for the above named project in response to the City of Concord’s solicitation for Bids dated October 16, 2019 has been accepted.

You are hereby requested to execute the formal contract with the City of Concord and to furnish any and all Contractor’s Certificate of Insurance, Performance Bond, Payment Bond, and associated Power of Attorney(s) along with other documents pertaining to the work as designated by the City of Concord.

Dated this the ___ day of __________, 2019

City of Concord, North Carolina

By: ________________________________
Title: City Manager

ACCEPTANCE OF NOTICE

Receipt of the above NOTICE OF AWARD is hereby acknowledged this the ___ day of __________, 2019.

______________________________
Witness

By: ________________________________
Title: ________________________________
EXHIBIT “K”
NOTICE TO PROCEED
(DATE OF AVAILABILITY)

TO:

FROM: City of Concord
P.O. Box 308
Concord, North Carolina 28026-0308

PROJECT: Virginia St., SE Rehabilitation Contract No. 2017-1057

PROJECT DESCRIPTION: The work consists of the selected Contractor performing work required for removal of existing concrete, and other materials and perform necessary grading, tie-in, and installation of new roadbed, asphalt, sidewalk and curbing, ADA ramps, storm structures and other necessary tasks as outlined in the plans along Virginia St. SE., as well as all work necessary to install the proposed water main and associated infrastructure as outlined in the Virginia St. Water Main Replacement plans, including mobilizations, demobilizations, all labor including special subcontracting, permits, licenses, certifications, layouts, supplemental surveying and engineering, handwork, fabrications, tools, equipment, all materials, miscellaneous hardware, any supporting hardware and software, consumables, preparations, adhesives, other items and incidentals, excavations and grading, hauling and transporting, traffic control safety operations, site security, security of the work, utility infrastructure removals and resetting, other incidental relocations, removal, disposal, or re-use of any debris, cleanup, and disposal(s), testings, cleanup, maintenance, and touch-up repair(s), and all else required as necessary to the satisfaction of the Director and the City of Concord.

All work and materials shall meet and be in accordance with the provisions of the Occupational Safety and Health Administration, the North Carolina Department of Labor, the North Carolina Department of Transportation Standard Specifications for Roads and Structures, Latest Edition, the Manual on Uniform Traffic Control Devices, Latest Edition and all other applicable Federal State and Local laws and regulations.

You are hereby formally notified to commence work on the ___day of ______________, 2019 under the assigned City of Concord Purchase Order No. ______________ in accordance with the Contract Documents for the work.

Dated this the ___day of ____________, 2019

City of Concord, North Carolina

By: ____________________________
Title: City Manager